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**United States Court of Appeals  
FOR THE SECOND CIRCUIT**

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August Term, 2016

(Argued: January 31, 2017 Final submissions: June 26, 2017  
Decided: January 3, 2018)

Docket No. 16-622

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WANDERING DAGO, INC.,

*Plaintiff-Appellant,*

v.

ROANN M. DESTITO, JOSEPH J. RABITO, WILLIAM F. BRUSO, JR., AARON WALTERS,

*Defendants-Appellees,*

JOHN DOES, 1-5, NEW YORK STATE OFFICE OF GENERAL SERVICES, NEW YORK RACING  
ASSOCIATION, INC., CHRISTOPHER K. KAY, STEPHEN TRAVERS, STATE OF NEW YORK,

*Defendants.*

**B e f o r e :**

CALABRESI and CARNEY, *Circuit Judges*, AMON, *District Judge*.\*

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Plaintiff-appellant Wandering Dago, Inc., (“WD”) operates a food truck and brands itself and the food it sells with language generally viewed as ethnic slurs. Defendants-appellees are officials within the New York State Office of General Services

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\* Judge Carol Bagley Amon, of the United States District Court for the Eastern District of New York, sitting by designation.

1 (“OGS”) who played a part in repeatedly denying WD’s applications to participate as a  
2 food truck vendor in the Summer Outdoor Lunch Program (“Lunch Program”) that is  
3 organized by OGS and takes place in Albany’s Empire State Plaza during the summer  
4 months. WD contends that defendants violated its rights under the First Amendment,  
5 the Equal Protection Clause, and the New York State Constitution by denying WD’s  
6 application because of its ethnic-slur branding.

7 We conclude that the District Court erred in granting summary judgment in  
8 defendants’ favor, and should instead have awarded judgment to WD. It is undisputed  
9 that defendants denied WD’s applications solely because of its ethnic-slur branding. As  
10 the Supreme Court recently clarified in *Matal v. Tam*, 137 S. Ct. 1744 (2017), such an  
11 action amounts to viewpoint discrimination and is prohibited by the First Amendment.  
12 That these acts violated the First Amendment leads to the conclusion that defendants  
13 further violated WD’s equal protection rights and rights under the New York State  
14 Constitution. On the facts before us, we find unpersuasive defendants’ argument that  
15 their actions were unobjectionable because they were either part of OGS’s government  
16 speech or permissible regulation of a government contractor’s speech.

17 For these reasons, the District Court’s judgment is REVERSED and the cause is  
18 REMANDED for the entry of a revised judgment consistent with this opinion.

19  
20 REVERSED AND REMANDED.

21  
22  
23 \_\_\_\_\_  
24 GEORGE F. CARPINELLO (John F. Dew, *on the brief*), Boies,  
25 Schiller & Flexner LLP, Albany, NY, *for Plaintiff-*  
26 *Appellant Wandering Dago, Inc.*

27 ZAINAB A. CHAUDHRY, Assistant Solicitor General (Barbara  
28 D. Underwood, Solicitor General, Andrea Oser,  
29 Deputy Solicitor General, *on the brief*), *for Eric T.*  
30 *Schneiderman, Attorney General of the State of New*  
31 *York, Albany, NY, for Defendants-Appellees RoAnn M.*  
32 *Destito, Joseph J. Rabito, William F. Bruso, Jr., and Aaron*  
33 *Walters.*  
34 \_\_\_\_\_

1 SUSAN L. CARNEY, *Circuit Judge*:

2 Plaintiff-appellant Wandering Dago, Inc., (“WD”) operates a food truck and  
3 brands itself and the food it sells with language generally viewed as ethnic slurs.  
4 Defendants-appellees (“defendants”)<sup>1</sup> are officials within the New York State Office of  
5 General Services (“OGS”) who played a part in twice denying WD’s applications to  
6 participate as a food vendor in the Summer Outdoor Lunch Program (“Lunch  
7 Program”), an activity that is organized by OGS and takes place in Albany’s Empire  
8 State Plaza annually in the summer months. WD contends that defendants violated its  
9 rights to free speech and equal protection under the United States Constitution and the  
10 New York State Constitution by denying WD’s application because of its branding  
11 practices.

12 We conclude that the District Court erred in granting summary judgment in  
13 defendants’ favor, and should instead have awarded judgment to WD. It is undisputed  
14 that defendants denied WD’s applications solely because of its ethnic-slur branding.  
15 The Supreme Court’s recent decision in *Matal v. Tam*, 137 S. Ct. 1744 (2017), clarifies that  
16 this action amounts to viewpoint discrimination and, if not government speech or  
17 otherwise protected, is prohibited by the First Amendment. That the action violates the  
18 First Amendment leads directly to the conclusion that defendants also violated WD’s  
19 equal protection rights and its rights under the New York State Constitution. We find  
20 unpersuasive defendants’ argument that their actions were unobjectionable because

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<sup>1</sup> We refer to “defendants,” but note that not all of the original defendants are party to this appeal. The John Does named in the complaint remain unidentified. WD’s claims against the New York State Office of General Services and the State of New York were dismissed on sovereign immunity grounds; that dismissal is not challenged on appeal. Finally, WD filed a stipulation of dismissal of its claims against the New York Racing Association, Inc., Christopher K. Kay, and Stephen Travers.

1 they were either part of OGS’s government speech or permissible regulation of a  
2 government contractor’s speech.

3 For these reasons, the District Court’s judgment is REVERSED and the cause is  
4 REMANDED for the entry of a revised judgment consistent with this opinion.

### 5 **BACKGROUND<sup>2</sup>**

6 WD is a New York corporation owned and operated by Andrea Loguidice and  
7 Brandon Snooks. WD operates a food truck using the “Wandering Dago” brand, serving  
8 food for a variety of functions, including catering events, fairs and festivals, and street-  
9 side lunch service. Loguidice and Snooks declare that they view their food truck as “the  
10 people’s truck” and as giving a “nod to [their] Italian heritage” and to their ancestors,  
11 who immigrated to the United States as day laborers. App. 73, 169. Using ethnic slurs in  
12 the names of their business and of the food that they sell reflects that philosophy, in  
13 their view. WD characterizes this practice as “signaling an irreverent, blue collar  
14 solidarity with its customers” and “signal[ing] to . . . immigrant groups that this food  
15 truck is for them.” Appellant’s Br. 3, 39. It notes that using slurs in this way can  
16 “weaken the derogatory force” of the slur or “convey affiliation with . . . members of  
17 that minority group.” *Id.* at 38 (internal quotation marks omitted).

18 OGS is a department of the New York State government. It is charged with  
19 managing and leasing real property, building and maintaining state facilities,  
20 contracting for goods and services on behalf of the State, and providing other  
21 administrative support services. Defendant-appellee RoAnn M. Destito is the  
22 Commissioner of OGS. Defendant-appellee Joseph J. Rabito was the Executive Deputy  
23 Commissioner of OGS. Defendant-appellee William F. Brusio, Jr., is an associate attorney

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<sup>2</sup> Except where indicated, the facts described here are undisputed. They are drawn primarily from the statements of material facts submitted by the parties in conjunction with their cross-motions for summary judgment in accordance with Rule 7.1(a)(3) of the Local Rules of Practice of the United States District Court for the Northern District of New York.

1 working for OGS, and defendant-appellee Aaron Walters is employed by OGS as a  
2 promotions and public affairs agent.

3 Empire State Plaza, in Albany, is owned by the State of New York and operated  
4 by OGS. The Plaza incorporates multiple state buildings, including the Corning Tower,  
5 four agency buildings, the Swan Street Building, the Legislative Office Building, the  
6 Robert Abrams Justice Building, the Egg Center for Performing Arts (the “Egg”), the  
7 Cultural Education Center (which contains the State Museum and the State Library),  
8 and the New York State Capitol Building, all of which are connected by an  
9 underground public concourse. The “Plaza level” of the Empire State Plaza is an open  
10 outdoor space featuring a central reflecting pool. This outdoor area, on its own, is also  
11 sometimes referred to as the Empire State Plaza. For our purposes, we use the term  
12 “Empire State Plaza” or simply the “Plaza” to refer solely to the outdoor area that is at  
13 issue in this case.

14 The Plaza is the site of a farmer’s market on certain weekdays during the  
15 summer. Annually, several state-organized events are held in the space. These include  
16 the African American Family Day, the Hispanic Heritage Month celebration, the Food  
17 Festival, and the Fourth of July Festival. Subject to a permitting requirement, the Plaza  
18 is also occasionally used by various private groups as a site for political rallies, marches,  
19 and protests. OGS does not use the potential offensiveness of a political event as a basis  
20 for denying an application for the requisite event permit, and it does not review signs  
21 and speeches to be displayed as part of such an event in advance.

22 Although OGS issues permits to individuals and organizations that apply for  
23 permission to demonstrate on OGS-controlled property, including the Plaza, some  
24 demonstrations are allowed to occur without a permit, unless they present a disruption  
25 or a health or safety issue. According to OGS, the purpose of the permitting process “is  
26 to provide OGS with notice of the likely size and location of the demonstration so that

1 OGS can provide adequate services and operational management.” App. 1013.

2 Demonstrations also occur on the concourse beneath the Plaza, some with permits and  
3 some without.

4 Defendants contend, but WD disputes, that OGS has a consistent policy of  
5 allowing only “family-friendly” events on the Plaza when OGS is operating the event.  
6 App. 1027. Deputy Commissioner Rabito says that during African American Family  
7 Day 2010, he directed an OGS employee not to hire one of the proposed dance troupes  
8 because its dress and type of dance were deemed not family-friendly. And OGS once  
9 removed a singer from the stage during a similar event for using the n-word. Rabito  
10 asserts that, “during the course of an OGS-sponsored event on the Plaza, OGS has  
11 directed vendors that are permitted to sell products at the Plaza as part of OGS-  
12 sponsored events or programs to remove items from their stalls that violated OGS’s  
13 family-friendly [policy], including replica ‘black face’ figurines, panties with ‘Kiss Me  
14 I’m Irish’ printed on them, fertility pendants with a phallus that becomes erect when a  
15 chain is pulled, and marijuana leaf belt buckles.” App. 395.

16 In the spring of 2013, OGS began planning a program that would, daily, allow a  
17 limited number of vendors to sell food items from trucks parked at designated spots on  
18 the East Roadway, located on the east side of the Plaza, between the reflecting pool and  
19 the Egg. In prior years, a single private company under contract with the state, Sodexo,  
20 had provided food services for an outdoor lunch program, but Sodexo’s contract was  
21 not renewed for 2013.

22 Under the new Lunch Program, OGS grants permits to qualified food vendors to  
23 participate in providing food during lunchtime hours to state employees as well as to  
24 visitors who come to the Capitol and adjacent state buildings and parks in the summer  
25 and early fall months. The Lunch Program requires vendors seeking to participate to  
26 apply to OGS for a permit. OGS determines the applicant’s eligibility to participate.

1           The application for the 2013 Lunch Program informed vendors of several OGS  
2 policies. Because of their importance, we reproduce many of those policies here  
3 verbatim, notwithstanding their combined length. They included the following:

- 4           • The Office of General Services is soliciting food vendors for  
5           the 2013 Empire State Plaza (ESP) Summer Outdoor Lunch  
6           Program to be held daily on the Plaza at the Empire State  
7           Plaza in Albany, New York. The 20 week season will run  
8           from Monday, May 20th through Friday, October 4th.
- 9  
10          • The Summer Outdoor Lunch Program Package includes:  
11          [among other things] 20 feet of vending space which  
12          includes electrical hookup and access to water . . . .
- 13  
14          • The cost for full participation, 5 days a week for 20 weeks, is  
15          \$1,500.00; participation on Wednesdays and Fridays only, for  
16          20 weeks, is \$1,000.00. All fees are due with your completed  
17          application no later than May 10, 2013. Interested parties  
18          must apply for a vending permit and meet all insurance and  
19          financial requirements in order to participate in the 2013 ESP  
20          Outdoor Lunch Program.
- 21  
22          • Vendors will not be allowed to provide vending services at  
23          the Empire State Plaza until they are in receipt of written  
24          approval of their application to participate in the Outdoor  
25          Lunch Program.
- 26  
27          • Unless prior arrangements have been made with OGS, all  
28          vendors are expected to complete the entire season.
- 29  
30          • Vending hours are from 9:00 a.m. – 2:00 p.m. Vendors are not  
31          allowed to sell prior to or after these hours.
- 32  
33          • Each vendor will be assigned a specific vending location; all  
34          space assignment will be at the discretion of OGS.
- 35  
36          • The sale or distribution of products other than food or  
37          beverage items is prohibited.

- Vendors may only sell menu items approved by the Albany County Department of Health and permitted per the Vendor’s vending permit for the ESP Outdoor Lunch Program. Vendors wishing to add additional items to their menu must request approval from the Albany County Department of Health and provide OGS’ Bureau of Food Services with a copy of the revised permit. OGS reserves the right to prohibit the sale, display or distribution of certain items if, in its sole opinion, these items may reasonably cause concern such as public safety.
- All vendors are expected to conduct themselves with courtesy and in an orderly manner. Arguments, harassment, sexual harassment, name-calling, profane language, or fighting are grounds for revocation of the vendor permit.
- OGS reserves the right to change the location, dates, hours, or to terminate entirely the operation of the program at any time and without prior notice to the vendor.
- Vendors will not refer to themselves as “sponsor,” “co-sponsor” or other terms conferring status other than of a participant.

App. 401-03 (underscoring in original).

Applicants to the 2013 Lunch Program also signed a “Plaza Vendor Permit Agreement for Empire State Plaza Vendors,” agreeing to abide by the rules set forth above (among others). The permit agreement’s preamble contained the following language:

WHEREAS, OGS has management supervision over the general domain of the food service operations at the Empire State Plaza (hereinafter referred to as “Plaza”),



1 WHEREAS, the State is interested in having food vendors  
2 take part in a lunchtime food vending program for the sale  
3 and distribution of food/beverage products and services[,]  
4

5 WHEREAS, OGS will be operating such a food vending  
6 program, by subcontracting some or all of the  
7 responsibilities therefor[] to various independent food  
8 vendors, and  
9

10 WHEREAS, the Vendor wishes to sell these products in  
11 those areas and during those times OGS hereinafter  
12 designates.

13 App. 407.

14 The Lunch Program was instituted to provide lunch options to government  
15 employees and visitors. Defendants contend more specifically, but WD disputes, that  
16 the Lunch Program “was created as an extension of the cafeteria services at the [Plaza]  
17 in order to meet the practical need to provide summer outdoor lunch options, to the  
18 approximately 11,000 State employees who work at ESP, as well as visitors to the  
19 Capitol, State Museum, performing arts center (The Egg), and the various monuments  
20 and memorial[s] at [the Plaza].” App. 1026.

21 Defendants claim that OGS informed the public about the Lunch Program in  
22 several different ways. For example, it advertised the Lunch Program on a closed-circuit  
23 television system located throughout Empire State Plaza’s concourse, but without  
24 listing the names of the vendors. It promoted the Lunch Program on its Facebook page  
25 and other social media websites. And it publicized the Program on a food critic’s blog.

26 On February 27, 2013, Loguidice contacted OGS to inquire about WD’s possible  
27 participation in the 2013 Lunch Program. On May 10, 2013, Aaron Walters of OGS left a  
28 voicemail message for Loguidice, advising her that WD could apply. On May 17, 2013,  
29 Loguidice faxed WD’s application to OGS. WD’s application included its proposed

1 menu, which featured sandwiches with the following names: “Dago,” “Castro,”  
2 “American Idiot,” “Goombah,” “Guido,” “Polack,” “El Guapo,” and “KaSchloppas.”  
3 App. 1034. Loguidice neglected to include the application’s Appendix B, which is  
4 designed to contain the vendor’s contact information and tax identification number, and  
5 a description of the type of vending operation, the space required, the applicant’s  
6 electrical needs, and other details. At least ten other vendors applied to participate in  
7 the 2013 Program.

8         Soon after receiving WD’s application, OGS officials approached Deputy  
9 Commissioner Rabito, seeking his views on WD’s proposed participation in the 2013  
10 Lunch Program. According to Rabito, he recognized the term “dago” as “a highly  
11 offensive term for Italians and his initial reaction was that the application would not be  
12 approved.” App. 1034-35. Rabito recounted that he then conducted a computer search  
13 of the term “dago,” which not only confirmed (he said) that it is an offensive derogatory  
14 term, but also revealed that it has been used to refer to people of Spanish and  
15 Portuguese descent, in addition to Italians. In addition, Rabito declared that he searched  
16 WD’s website and saw that other offensive names appeared on its menu.

17         It is undisputed that Rabito thereafter denied WD’s application solely because of  
18 those offensive terms, and not on other possible grounds such as the application’s  
19 incompleteness. Defendants characterize Rabito’s act as a denial “on the grounds that  
20 its name contains an offensive ethnic slur and does not fit with OGS’ policy of  
21 providing family-friendly programming.” App. 1035. WD characterizes the act as a  
22 denial merely on the ground that Rabito found its branding “offensive,” without  
23 reference to “any statute[,], regulation, policy, or other source of guidance in making his  
24 decision.” *Id.*

25         Later on the day of Rabito’s denial, OGS advised all applicants other than WD  
26 that their applications to participate in the 2013 Lunch Program had been accepted.

1 Among the vendors accepted was a truck named "Slidin' Dirty," a slang reference,  
2 apparently, both to small hamburgers and to the act of driving while in possession of  
3 drugs or firearms. App. 1036-37.<sup>3</sup> Although none dispute that Rabito's decision rested  
4 solely on WD's offensive branding, when OGS formally notified WD of its permit  
5 denial on May 20, 2013, it cited as reasons both WD's offensive name and its failure to  
6 submit a complete application before the stated deadline. OGS approved other  
7 applications that were late and/or incomplete, however.

8 In the fall of 2013, OGS adopted a new procedure to assess the applications of  
9 outside vendors seeking to participate in its events and programs. Under the new  
10 procedure, each event or program would have a set of explicit criteria by which  
11 applications would be scored, and explicit scoring cutoffs to determine which  
12 applicants would be accepted.

13 On May 5, 2014, WD submitted a timely and complete application for the 2014  
14 Lunch Program. The application was reviewed by OGS employees designated as the  
15 selection committee, was scored, and received a score sufficient for acceptance into the  
16 program. Nevertheless, the application was denied. WD received a letter dated May 16,  
17 2014, from Brusco, advising it that its application had been denied "due to your firm's  
18 name as previously described." App. 659, 1018. Among the eight complete applications  
19 submitted that year, WD's was the only one not accepted.

20 WD filed this section 1983 lawsuit on August 27, 2013. In its amended complaint,  
21 WD alleges that OGS officials violated its rights to free speech and equal protection  
22 under the United States Constitution and the New York State Constitution by denying  
23 its application for a vendor permit in the 2013 and 2014 Lunch Programs on the basis of

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<sup>3</sup> Defendants have not expressly admitted that an application for a food truck named "Slidin' Dirty" was accepted, but they have not challenged WD's factual assertion that one was.

1 its branding. WD seeks injunctive and declaratory relief, along with attorney’s fees. It  
2 has abandoned its claim for money damages.

3 The parties filed cross-motions for summary judgment, and in March 2016, the  
4 District Court denied WD’s motion, granted the defense’s motion, and entered  
5 judgment in favor of defendants Bruso, Destito, Rabito, and Walters. *Wandering Dago,*  
6 *Inc. v. Destito*, No. 1:13-CV-1053, 2016 WL 843374 (N.D.N.Y. Mar. 1, 2016). The District  
7 Court rejected WD’s argument that its speech—again, the name of its truck and food  
8 offerings—was protected by the commercial speech doctrine. Rather, it concluded that  
9 WD’s speech must be considered either government speech, speech by a government  
10 contractor, or private speech in a government-owned forum, as to which the First  
11 Amendment’s protections would not prevent OGS’s denial. Unsure of which category  
12 applied, the court concluded that WD’s claim failed under each. It also rejected WD’s  
13 federal equal protection claim and the parallel free speech and equal protection claims  
14 under the New York State Constitution.

15 WD timely appealed.

## 16 DISCUSSION

17 WD argues that branding its business with language commonly viewed as ethnic  
18 slurs while participating in the Lunch Program at the Empire State Plaza is a form of  
19 commercial speech in a quintessential public forum, and that therefore OGS’s actions  
20 must be subjected to close judicial scrutiny. Further, WD contends that, regardless of  
21 the forum’s nature, defendants violated its First Amendment rights by discriminating  
22 against its viewpoint, and necessarily also its equal protection rights and rights under  
23 the New York State Constitution. It challenges OGS’s contention that defendants’  
24 actions constituted government speech or regulation of a government contractor’s  
25 speech, as well as the permissibility of the District Court’s *sua sponte* addressing those  
26 issues. In addition, WD argues that the standard by which OGS decision-makers

1 evaluated Lunch Program applications allowed defendants, unconstitutionally, to  
2 impose prior restraints at their discretion.

3 While not disputing that WD's use of ethnic slurs in the branding of its food  
4 truck is a form of speech, defendants counter that the Lunch Program is a nonpublic  
5 forum; that commercial speech doctrine does not apply to speech made in this context;  
6 and that prohibiting the use of ethnic slurs is a reasonable and viewpoint-neutral  
7 regulation. Turning to the issue of discretion, defendants argue that OGS's asserted  
8 "family-friendly" policy, in both its written and unwritten expressions, sufficiently  
9 circumscribes its decision-makers' discretion in evaluating Lunch Program applications  
10 to survive constitutional challenge. They also maintain that their actions were part of  
11 OGS's government speech, or at least regulation of a government contractor's speech,  
12 and are therefore not subject to the same degree of First Amendment scrutiny as  
13 restrictions on private speech. Finally, on the same reasoning, they contend that WD's  
14 equal protection and New York State Constitution claims fail.

15 For the reasons set out below, we conclude that the District Court should have  
16 granted summary judgment in WD's favor and not for defendants. By rejecting WD's  
17 application only on the ground of its branding, defendants impermissibly discriminated  
18 against WD's viewpoint and therefore ran afoul of the First Amendment, whether WD's  
19 speech is categorized as commercial speech, speech in a public forum, or speech in a  
20 nonpublic forum. *See Matal v. Tam*, 137 S. Ct. 1744 (2017). Defendants' actions are not  
21 shielded by doctrines applicable to government speech and government contractor  
22 speech. Although bearing some similarities to regulation of these types of speech,  
23 defendants' actions are properly characterized as viewpoint-based regulation of private  
24 speech. In light of those conclusions, which entitle WD to the injunctive relief it seeks,  
25 we need not reach WD's facial First Amendment challenge concerning discretionary  
26 prior restraints. Finally, from our First Amendment analysis, it follows that the District

1 Court reached erroneous conclusions regarding WD’s equal protection and New York  
2 State Constitution claims.

3 We review *de novo* “a district court’s ruling on cross-motions for summary  
4 judgment, in each case construing the evidence in the light most favorable to the non-  
5 moving party.” *Novella v. Westchester Cty.*, 661 F.3d 128, 139 (2d Cir. 2011). Summary  
6 judgment may be granted if there is “no genuine dispute as to any material fact” and  
7 the moving party is “entitled to judgment as a matter of law.” Fed. R. Civ. P. 56(a).

### 8 I. OGS’s decisions to deny WD’s Lunch Program applications

9 As set out below, the constitutionality of defendants’ actions depends on what  
10 OGS was targeting—content or a viewpoint—when it denied WD’s Lunch Program  
11 applications and whether WD’s branding, if WD had been included in the Lunch  
12 Program, would have been WD’s own private speech or, instead, a form of government  
13 speech. The answers to those questions, in turn, set the level of constitutional scrutiny  
14 we must apply as we evaluate WD’s challenges to defendants’ actions.

15 We conclude that defendants engaged in viewpoint discrimination when they  
16 denied WD’s applications because WD branded its truck and products with ethnic  
17 slurs. We reject defendants’ arguments that WD’s speech should be seen as government  
18 speech. As a result, defendants’ actions are subject to, and fail, heightened scrutiny,  
19 irrespective of whether we categorize WD’s speech as commercial speech, speech in a  
20 public forum, or speech in a nonpublic forum. We therefore reverse that portion of the  
21 District Court’s judgment that concerns the constitutionality of OGS’s decisions to deny  
22 WD’s Lunch Program applications.

### 23 A. Viewpoint discrimination

24 As set out above, WD contends that defendants discriminated against its  
25 viewpoint when, because the WD truck and its products were branded with ethnic  
26 slurs, they denied WD’s Lunch Program application. Defendants agree that they denied

1 WD's application because of its use of what they saw as ethnic slurs. They argue,  
2 however, that WD's use of this language did not reflect any real "viewpoint": when  
3 deposed, defendants observe, Loguidice and Snooks described their use of the language  
4 as nothing more than a "nod to [their] Italian heritage" and as part of how they  
5 presented WD as "the people's truck." App. 73, 169. According to defendants, WD's  
6 adoption of a coherent message of "irreverent, blue-collar solidarity" has been  
7 "manufacture[d] . . . post-hoc." Appellees' Br. 38. Defendants also contend that they  
8 rejected WD's application not because of any message that WD might have intended to  
9 convey, but rather because WD's use of ethnic slurs was "offensive" and not "family  
10 friendly." *Id.* at 39. For the reasons set out below, and in light of the Supreme Court's  
11 recent decision in *Matal v. Tam*, 137 S. Ct. 1744 (2017), we hold that OGS's denials  
12 amounted to viewpoint discrimination.

13 Government "[d]iscrimination against speech because of its message is presumed  
14 to be unconstitutional." *Rosenberger v. Rector & Visitors of Univ. of Va.*, 515 U.S. 819, 828  
15 (1995). "Viewpoint discrimination is a 'subset or particular instance of the more general  
16 phenomenon of content discrimination,' in which 'the government targets not subject  
17 matter but particular views taken by speakers on a subject.'" *Make the Rd. by Walking,*  
18 *Inc. v. Turner*, 378 F.3d 133, 150 (2d Cir. 2004) (citation omitted) (quoting *Rosenberger*, 515  
19 U.S. at 829, 831). The government discriminates against viewpoints when it disfavors  
20 certain speech because of "the specific motivating ideology or the opinion or  
21 perspective of the speaker." *Rosenberger*, 515 U.S. at 829.

22 As we have noted, "the distinction between content discrimination . . . and  
23 viewpoint discrimination . . . is somewhat imprecise." *Make the Rd. by Walking*, 378 F.3d  
24 at 150; *see also Rosenberger*, 515 U.S. at 831 ("[T]he distinction is not a precise one."). But  
25 the Supreme Court's recent decision in *Matal v. Tam*, 137 S. Ct. 1744 (2017), provides  
26 substantial guidance regarding viewpoint discrimination in the context of speech

1 labeled “offensive” —in particular, language generally perceived as ethnic slurs. In  
2 *Matal*, the Court addressed the constitutionality of a statutory provision “prohibit[ing]  
3 the registration of a trademark ‘which may disparage . . . persons, living or dead,  
4 institutions, beliefs, or national symbols, or bring them into contempt, or disrepute.’” *Id.*  
5 at 1753 (quoting 15 U.S.C. § 1052(a)). The U.S. Patent and Trademark Office (“PTO”)  
6 applied the statute to reject proposed marks, including that proposed in *Matal*, if a  
7 “substantial composite . . . of the referenced group would find the proposed mark . . . to  
8 be disparaging in the context of contemporary attitudes,” whether or not the applicant  
9 was a member of that group or “ha[d] good intentions” in using the term. *Id.* at 1754. At  
10 issue in the case was the PTO’s rejection of an application to register the mark “The  
11 Slants,” the name of a musical band, which the PTO judged to be an ethnic slur  
12 offensive or derogatory to Asian-Americans. The band, whose members were Asian-  
13 American, contended that their use of the slur helped them “‘reclaim’ the term and  
14 drain its denigrating force.” *Id.* at 1751.

15 Although split between two opinions, all eight Justices participating in *Matal*  
16 concluded that the PTO’s rejection of The Slants’ mark constituted unconstitutional  
17 viewpoint discrimination. *See id.* at 1763 (Justice Alito’s lead opinion joined by three  
18 other Justices), 1765 (Justice Kennedy’s concurrence joined, in relevant part, by three  
19 other Justices). Importantly, they reached that conclusion even though the trademark  
20 application at issue “was denied not because the Government thought [the applicant’s]  
21 *object* was to demean or offend but because the Government thought his trademark  
22 would have that effect on at least some Asian-Americans.” *Id.* at 1766 (Kennedy, *J.*,  
23 concurring) (emphasis added); *see also id.* at 1763 (Alito, *J.*) (“[The statute] denies  
24 registration to any mark that is offensive to a substantial percentage of the members of  
25 any group.”).



1 Justice Kennedy’s concurrence explains further why the PTO’s practice was not  
2 saved by either its “appli[cation] in equal measure to any trademark that demeans or  
3 offends” or by the PTO’s disregard of “the applicant’s personal views or reasons for  
4 using the mark.” *Id.* at 1766. As to the first point, the concurrence stresses that  
5 “mandating positivity . . . might silence dissent and distort the marketplace of ideas”  
6 even though the mandate is applied evenhandedly to all participants. *Id.* As to the  
7 second point, the concurrence rejects the notion that the government can “insulate a law  
8 from charges of viewpoint discrimination by tying censorship to the [expected] reaction  
9 of the speaker’s audience,” rather than to the speaker’s views or intentions. *Id.* at 1766-  
10 67. That is because “a speech burden based on audience reactions is simply government  
11 hostility and intervention in a different guise” — “[t]he speech is targeted, after all, based  
12 on the government’s disapproval of the speaker’s choice of message.” *Id.* at 1767.

13 Applying *Matal* to the facts presented here leaves little doubt that defendants’  
14 actions in rejecting WD’s speech are correctly seen as viewpoint, not merely content,  
15 discrimination. Wherever one might draw the line between expressions of “viewpoint”  
16 and other categories of speech content in a different context, *Matal* is clear that “[g]iving  
17 offense is a viewpoint” when it comes to ethnic slurs, *id.* at 1763 (Alito, J.)—at least  
18 when giving “offense” to an audience is the sole effect that the government is targeting.  
19 *See also id.* at 1766 (Kennedy, J., concurring) (“[T]he Government’s disapproval of a  
20 subset of messages it finds offensive . . . is the essence of viewpoint discrimination.”).

21 In other contexts, however, ethnic slurs might cause negative effects of a different  
22 sort—that is, not mere “offense”—that the government could target without engaging  
23 in viewpoint discrimination. A hostile work environment claim under  
24 antidiscrimination law is one example. Most antidiscrimination laws “regulate[]  
25 membership and employment policies as conduct, not as expression,” and “prohibit[]  
26 discriminatory membership and employment policies not because of the viewpoints

1 such policies express, but because of the immediate harms . . . such discrimination  
2 causes.” *Boy Scouts of Am. v. Wyman*, 335 F.3d 80, 93 (2d Cir. 2003). These laws, while  
3 perhaps causing “viewpoint disparity” in workplaces, are generally not considered  
4 viewpoint discriminatory.<sup>4</sup> *Id.* Nothing in *Matal* or this opinion changes that.

5 Nor does *Matal* call into question the government’s ability to regulate speech,  
6 including the use of slurs, that constitutes a “true threat” of violence, *Virginia v. Black*,  
7 538 U.S. 343, 359-60 (2003), “harassment,” *Hill v. Colorado*, 530 U.S. 703, 723-24 (2000), or  
8 “fighting words,” *R.A.V. v. City of St. Paul*, 505 U.S. 377, 386 (1992) (observing that  
9 fighting words have an “unprotected . . . nonspeech element” (internal quotation marks  
10 omitted)); *NLRB v. Pier Sixty, LLC*, 855 F.3d 115, 124 n.46 (2d Cir. 2017) (describing  
11 fighting words as “so insulting in both content and delivery that they are likely to  
12 provoke the listener to respond violently”). Defendants here, however, have not argued  
13 that WD’s speech falls within these unprotected categories.

14 Ultimately, we think *Matal* compels the conclusion that defendants have  
15 unconstitutionally discriminated against WD’s viewpoint by denying its Lunch  
16 Program applications because WD branded itself and its products with ethnic slurs.  
17 Although ethnic slurs are used to express a variety of opinions and obtain a variety of  
18 effects, under *Matal* the mere use of these potentially offensive words in the factual  
19 setting presented here reflects a viewpoint and cannot be framed by the government as  
20 a larger viewpoint-neutral category of speech content available to advance multiple  
21 viewpoints and therefore subject to less First Amendment protection.

22 Thus, contrary to defendants’ suggestion, we need not delineate the full extent of  
23 the message that Loguidice and Snooks were trying to convey. Whatever the intended

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<sup>4</sup> Antidiscrimination laws can, of course, raise First Amendment concerns of a different sort, relating to associational rights. *See, e.g., Boy Scouts of Am. v. Dale*, 530 U.S. 640 (2000); *Bd. of Dirs. of Rotary Int’l v. Rotary Club of Duarte*, 481 U.S. 537 (1987).

1 message, WD’s use of ethnic slurs reflects a viewpoint about when and how such  
2 language should be used. Further, it matters not that defendants, like the PTO in *Matal*,  
3 might well have targeted ethnic slurs solely because of the audience’s expected reaction,  
4 rather than because of WD’s intended message. Defendants engaged in viewpoint  
5 discrimination here even if the denial of WD’s application resulted from an across-the-  
6 board prohibition applicable to all speakers without regard to their intended messages.

7 In light of the clarification provided by *Matal*, therefore, we conclude that the  
8 District Court erred in its assessment: the undisputed facts show that defendants did  
9 engage in viewpoint discrimination when they denied WD’s Lunch Program  
10 applications solely because the WD truck and its products were branded with ethnic  
11 slurs. Given *Matal*’s clarity on this point, we think it unnecessary to discuss at length  
12 earlier precedents that could be interpreted as supporting a different conclusion.<sup>5</sup>

#### 13 **B. Government speech and government contractor speech**

14 Defendants further contend, however, that any viewpoint discrimination on their  
15 part is of no moment because OGS’s denial of WD’s application should be understood  
16 as a permissible manifestation of OGS’s own government speech—a refusal to endorse

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<sup>5</sup> In *Perry v. McDonald*, for example, we concluded that a state did not engage in unlawful viewpoint discrimination when it rejected a request for a “SHTHPNS” vanity plate, while at the same time allowing plates such as “COWPIES,” “POOPER,” and “BM.” 280 F.3d 159, 170-71 (2d Cir. 2001). Although all the language on the plates was scatological, “SHTHPNS” was the only “offensive” plate because it contained “easily recognizable profanities.” *Id.* We held that the government was not targeting the worldview underlying the phrase “shit happens,” but rather the use of profanities to express that philosophy, and that the latter objective did not amount to viewpoint discrimination. Of course, following the Supreme Court’s decision in *Walker v. Texas Division, Sons of Confederate Veterans, Inc.*, the state’s rejection of the vanity plate might now be permitted on the alternative ground that the plate’s language constituted government speech. 135 S. Ct. 2239, 2246 (2015) (“[S]pecialty license plates issued pursuant to Texas’s statutory scheme convey government speech.”).

1 ethnic slurs in a state-sponsored program—or as lawful regulation of speech made by a  
2 government contractor.<sup>6</sup> We are not persuaded by these arguments.

### 3 1. Government speech

4 “When government speaks, it is not barred by the Free Speech Clause from  
5 determining the content of what it says.” *Walker v. Tex. Div., Sons of Confederate Veterans,*  
6 *Inc.*, 135 S. Ct. 2239, 2245 (2015). When it acts as a speaker, the government is entitled to  
7 favor certain views over others. *See id.* at 2251. The question is whether speech by the  
8 vendors participating in the Lunch Program—an event involving both the government  
9 and private individuals—is properly characterized by defendants as government  
10 speech. For the reasons set out below, we find the government speech characterization  
11 inapt here.

12 The Supreme Court has identified forms of speech belonging to the government  
13 despite private individuals’ involvement. In *Walker*, the Court held that specialty  
14 automobile license plates issued by Texas were government speech over which the  
15 government could exercise editorial control. The Court cited three factors underlying its  
16 conclusion: (1) “the history of license plates show[ed] that . . . they long ha[d]  
17 communicated messages from the States,” *id.* at 2248; (2) “license plate designs [we]re  
18 often closely identified in the public mind with the [State],” *id.* (internal quotation  
19 marks omitted); and (3) “Texas maintain[ed] control over the messages conveyed on its  
20 specialty plates,” *id.* at 2249. Applying a similar framework, the Court has also found to  
21 be government speech a city’s refusal to place a private group’s permanent religious  
22 monument in the city’s park alongside other religious and secular displays. *Pleasant*  
23 *Grove City v. Summum*, 555 U.S. 460, 481 (2009). Explaining its rationale, it cited the

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<sup>6</sup> We note that the District Court raised and addressed these issues *sua sponte* without  
affording WD an opportunity to be heard on them. These issues, however, are legal in nature  
and, on appeal, have been fully briefed by both parties. In the interest of judicial economy, we  
therefore reach them now.

1 history of government use of monuments to speak to the public; the fact that observers  
2 generally expected permanent monuments to convey a message on the property  
3 owner's behalf; and the city's control over the selection of monuments. *Id.* at 470-73.

4 At the same time, however, speech that is otherwise private does not become  
5 speech of the government merely because the government provides a forum for the  
6 speech or in some way allows or facilitates it. *See, e.g., Cornelius v. NAACP Legal Def. &*  
7 *Educ. Fund, Inc.*, 473 U.S. 788, 811-13 (1985) (holding that a charity drive organized by  
8 government was nonpublic forum for private speakers to solicit donations, and  
9 therefore that viewpoint discrimination was prohibited); *Latino Officers Ass'n, N.Y., Inc.*  
10 *v. City of N.Y.*, 196 F.3d 458, 468-69 (2d Cir. 1999) (holding that a police department's  
11 refusal to permit police affinity group to march in parades was not a form of  
12 government speech). In its recent decision in *Matal*, the Supreme Court held that  
13 trademark registration, and the PTO's refusal to register marks deemed offensive, was  
14 not a form of government speech. *Matal*, 137 S. Ct. at 1757-60. The *Matal* Court  
15 unanimously underscored that it exercises "great caution before extending [its]  
16 government-speech precedents," citing the risk that "private speech could be passed off  
17 as government speech" and "silence[d]" by "simply affixing a government seal of  
18 approval." *Id.* at 1758. It characterized *Walker's* holding allowing state regulation of  
19 license plate content as "mark[ing] the outer bounds of the government-speech  
20 doctrine." *Id.* at 1760.

21 Applying the *Walker/Summum* factors here, we find it hard to accept the  
22 proposition that OGS's denial of WD's application was a form of government speech,  
23 rather than regulation of private speech. Unlike in both *Walker* and *Summum*,  
24 defendants have not pointed to any record evidence of a well-established history of  
25 OGS's controlling the names of Lunch Program vendors in order to tailor a government  
26 message. They concede that the Lunch Program has existed for only "a few years," and

1 the history they cite is a general history of “sponsor[ing]” assertedly “analogous  
2 programs” in the Plaza. Appellees’ Br. 58.

3 Further, to the extent that OGS does have a history of screening applications for  
4 various permits to use Empire State Plaza, we see little to distinguish OGS’s role from  
5 the role filled by any state or local government entity that decides whether to grant  
6 permits to use any public lands. The record contains no basis for thinking that Lunch  
7 Program vendors’ names, any more than the names of other organizations that receive  
8 permits to use public lands for special events, are closely identified with the  
9 government “in the public mind.” *Matal*, 137 S. Ct. at 1760. In addition—drawing on the  
10 Court’s reasoning in *Summum*, which also involved the use of public land—we find it  
11 significant that the food vendors participating in the Lunch Program are a merely  
12 temporary feature of the landscape, and quite visibly so. In *Summum*, by contrast, the  
13 Court rested its holding in part on the notion that observers of a monument placed on  
14 government property would, partly from its permanence, generally infer that the  
15 monument expressed a message endorsed by the government. *See* 555 U.S. at 470-73.

16 Having said that, we acknowledge that the Lunch Program is more than simply  
17 a grant of access to public lands to which OGS has affixed a government seal and a  
18 “program” designation. More than simply access to Empire State Plaza, OGS provides  
19 additional assistance to vendors by publicizing the program and by providing vendors  
20 with access to electricity and water on the Plaza through facilities installed there. We  
21 have little trouble rejecting the argument that OGS was expressing its own views when  
22 it refused to allow WD *physical access* to Empire State Plaza—apart from this further  
23 assistance—during the Lunch Program. But we think a more difficult question is posed  
24 by OGS’s declining, because of WD’s branding, to provide facilities and promotional  
25 support for WD’s participation in the Lunch Program. The First Amendment might

1 conceivably bar the former action (preventing physical access) but not the latter  
2 (refusing additional support).<sup>7</sup>

3 The additional assistance provided to permitted vendors by OGS lends support  
4 to defendants' assertion that WD's participation would be perceived by the public as  
5 government speech, and also implicates another line of argument that is not clearly  
6 expressed in their brief: that government may "set spending priorities" and "selectively  
7 fund . . . activities it believes to be in the public interest." *Nat'l Endowment for Arts v.*  
8 *Finley*, 524 U.S. 569, 588 (1998). Arguably, OGS's modest additional assistance was a  
9 form of selective funding. This argument, however, raises "a notoriously tricky question  
10 of constitutional law." *Matal*, 137 S. Ct. at 1760 (Alito, J.). In general, "if a party objects to  
11 a condition on the receipt of [government] funding, its recourse is to decline the funds,"  
12 but sometimes "a funding condition can result in an unconstitutional burden on First  
13 Amendment rights." *Agency for Int'l Dev. v. All. for Open Soc'y Int'l, Inc.*, 133 S. Ct. 2321,  
14 2328 (2013).

15 Still, even taking account of the possible import of OGS's additional assistance,  
16 we conclude that the record in this case cannot support concluding that OGS expressed  
17 its own message by denying WD's Lunch Program applications. For all the reasons  
18 already reviewed above, we find it implausible that OGS, by permitting WD's full  
19 participation in the Lunch Program, would be viewed by the public as having adopted  
20 WD's speech as its own. In *Matal*, the PTO "d[id] not dream up the[] marks"; it merely  
21 registered them, a service provided to many trademark applicants. *Matal*, 137 S. Ct. at  
22 1758. Here, OGS did not "dream up" or adopt WD's branding, nor would a reasonable

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<sup>7</sup> Of course, within the bounds of the First Amendment, OGS may place content-neutral restrictions on the time, place, and manner of speech delivered on government property. But defendants have not argued that their denial of WD's application was motivated by legitimate concerns about the time, place, or manner of WD's speech (*e.g.*, crowding). Rather, their denial was motivated by the speech's viewpoint.

1 observer think it did so simply because of the incidental assistance that OGS provides to  
2 Lunch Program vendors.

3 We arrive at the same conclusion when the issue is framed in terms of OGS's  
4 entitlement to fund private speech selectively. To begin with, we are not so sure that  
5 OGS's incidental assistance to vendors constitutes a significant government benefit  
6 separate and apart from providing access to the forum itself. As we discuss below, the  
7 government's making available a forum for private speech does not constitute a  
8 "subsidy," and is circumscribed by a specific set of constitutional restrictions that  
9 includes a rule against viewpoint discrimination. It is true that OGS's local publicity  
10 efforts and provision of on-site water and electricity sources are not elements of the  
11 most basic definition of the "forum" at issue here: Empire State Plaza as a physical  
12 space. But in creating forums for private activity, the government sometimes furnishes  
13 services or tangible goods, not access to a physical space. In *Cornelius*, for example, the  
14 private speech occurred in literature produced by the government to promote a charity  
15 drive. *See Cornelius*, 473 U.S. at 791.

16 As Justice Alito observed in a portion of his *Matal* opinion joined by three other  
17 Justices, the government's provision of a speech-facilitating service (there, trademark  
18 registration) in return for a fee—in the case of the Lunch Program, a \$1500 fee—hardly  
19 resembles a typical government subsidy, *see Matal*, 137 S. Ct. at 1761 (Alito, J.), and  
20 perhaps in some instances looks more like creating a forum, *see id.* at 1763 (suggesting  
21 that forum doctrine, rather than precedents about "government programs," might be  
22 the proper framework for understanding trademark registration). In addition, treating  
23 as government "subsidies" not only "cash subsidies or their equivalent," but also  
24 "government service[s] . . . utilized by only some, *e.g.*, the adjudication of private  
25 lawsuits and the use of public parks and highways," *id.* at 1763 (Alito, J.), would  
26 empower government to place speech-related conditions on citizens' access to



1 numerous essential public services. Adopting such a capacious view of government’s  
2 prerogative to fund speech selectively would represent a step far beyond Supreme  
3 Court precedent and likely conflict with the fundamental purposes of the First  
4 Amendment.

5 But even were we to accept the theory that OGS “subsidizes” Lunch Program  
6 vendors’ speech beyond merely creating a forum, we do not think that defendants have  
7 avoided the First Amendment’s prohibition of government viewpoint discrimination.  
8 We acknowledge that “viewpoint-based funding decisions can be sustained [as  
9 government speech] . . . [w]hen the government disburses public funds to private  
10 entities to convey a governmental message.” *Legal Servs. Corp. v. Velazquez*, 531 U.S. 533,  
11 541 (2001) (internal quotation marks omitted). This principle does not apply, however,  
12 when a government program is not designed to “promote a governmental message.” *Id.*  
13 at 542. When a government program’s very concept contemplates presenting a diversity  
14 of views from participating private speakers, the government may not then “single out  
15 a particular idea for suppression because it [is] dangerous or disfavored.” *Id.* at 541; *see*  
16 *also Nat’l Endowment for the Arts*, 524 U.S. at 587 (“[E]ven in the provision of subsidies,  
17 the Government may not ai[m] at the suppression of dangerous ideas.” (internal  
18 quotation marks omitted)). Further, the government “cannot recast a condition on  
19 funding as a mere definition of its program in every case, lest the First Amendment be  
20 reduced to a simple semantic exercise.” *Legal Servs. Corp.*, 531 U.S. at 547.

21 The design of the Lunch Program conflicts with the notion that OGS somehow  
22 employs the program—and the language used by the vendors—to convey a  
23 government message. Defendants stress that OGS aims to make the Lunch Program  
24 “family-friendly,” and we do not doubt that that is so. But the record does not  
25 document OGS’s having organized the Lunch Program for the purpose of conveying  
26 any message at all. The purpose was to provide casual outdoor lunch options to state

1 employees and visitors to the capital. And the structure of the program—inviting  
2 outside vendors to bring their own food trucks—seems to contemplate that, through  
3 signs and advertising décor to differentiate one from the other, participating vendors  
4 will bring some of their own diverse personal expression—not government messages—  
5 to Empire State Plaza. Nor does the record reflect that the vendors accepted into the  
6 Lunch Program are selected because of their ability to help convey a coherent  
7 government message. Instead, the reasonable inference to be drawn from the record is  
8 that the Lunch Program generally accepted all applicants during the relevant time  
9 period, and WD’s rejection was exceptional: for both the 2013 Lunch Program and the  
10 2014 Lunch Program, every vendor who completed an application was accepted, except  
11 for WD. OGS’s acceptance of the “Slidin’ Dirty” truck in the Lunch Program further  
12 illustrates the point.

13 We do not doubt that the government has a legitimate interest in promoting  
14 family-friendly messages, speaking directly itself or through selective subsidies. But on  
15 the undisputed facts in the record before us, we are unable to conclude that OGS was  
16 aiding the transmission of a government message by denying WD’s Lunch Program  
17 applications.

18 For these reasons, we conclude that defendants’ actions were not OGS’s  
19 “government speech.” The government speech doctrine therefore offers defendants no  
20 refuge from the First Amendment’s prohibition of viewpoint discrimination.

## 21 **2. Government contractor speech**

22 Defendants also argue that OGS’s actions should be seen as conditions placed on  
23 a prospective government contractor’s speech—that is, WD’s speech—and thus are  
24 subject to, and satisfy, the balancing of interests described by *Board of County*  
25 *Commissioners, Wabaunsee County v. Umbehr*, 518 U.S. 668 (1996) (holding that First  
26 Amendment requires fact-intensive and deferential balancing of government’s interests

1 against government contractors’ speech interests, in line with the standard applied to  
2 government employees under *Pickering v. Board of Education*, 391 U.S. 563 (1968)). We  
3 reject this argument as well.

4 Defendants point out that OGS conceived of the Lunch Program as a substitute  
5 for the government’s prior contract with Sodexo to provide lunch at the Plaza during  
6 the summer months, and that the Lunch Program vendor application materials advised  
7 that OGS planned to “subcontract[]” food service operations to interested vendors.  
8 App. 407. But defendants cite no authority for the proposition that these facts alone  
9 effectively render WD a prospective government contractor. Neither *Pickering* nor its  
10 progeny, including *Umbehr*, relied on by defendants, are on point. In those cases, the  
11 government agreed to pay public moneys to private individuals for services to be  
12 rendered, and therefore had a stronger interest in restricting those individuals’ speech  
13 than in restricting the speech of the public at large. *See Umbehr*, 518 U.S. at 675-78;  
14 *Pickering*, 391 U.S. at 568.

15 Our factual setting differs significantly. It is true that the Lunch Program is an  
16 economic arrangement involving OGS and private vendors—an arrangement that OGS  
17 is not compelled to enter into—and that the vendors stand to generate revenue for  
18 themselves as a result of the arrangement. It is also true that OGS indirectly benefits  
19 from the goods and services the food vendors provide as part of this exchange: through  
20 the program, it provides its employees outdoor lunch options. But that is where the  
21 resemblance to government contracting ends. What OGS provides in this exchange is  
22 access to a forum—an issue governed by forum doctrine, not *Umbehr*—and modest  
23 nonmonetary assistance that facilitates the use of that forum. And it is those employees  
24 and other private citizens, *not* OGS, that actually pay the food vendors for their goods  
25 and services and directly benefit from them. The only monetary exchange between the  
26 food vendors and OGS is a fee paid *by* the vendors *to* OGS.

1 In light of those considerations, we see the food vendors not as government  
2 contractors, but rather as private entities that pay to access public benefits and, in using  
3 those benefits to their economic advantage, secondarily satisfy a government purpose.  
4 To categorize WD as a prospective government contractor would represent a  
5 considerable and, we think, unwarranted expansion of *Umbehr*. We therefore reject  
6 defendants' argument and decline to analyze WD's speech under a government  
7 contractor rubric.

### 8 C. Whether defendants' viewpoint discrimination was justified

9 Defendants have not argued that their actions, if correctly characterized as  
10 viewpoint discrimination against WD's private speech, were sufficiently justified by  
11 OGS's governmental interests to survive First Amendment scrutiny. Nor do we think  
12 that that argument could be successfully made here, but we address it briefly to  
13 complete the analytical picture.

14 In general, government viewpoint discrimination against private speech violates  
15 the First Amendment unless it is narrowly tailored to achieve a compelling government  
16 interest. *Amidon v. Student Ass'n of State Univ. of N.Y. at Albany*, 508 F.3d 94, 105-06  
17 (2d Cir. 2007); *Husain v. Springer*, 494 F.3d 108, 128 n.14 (2d Cir. 2007). This rule applies  
18 to private speech delivered on public property regardless of how the property is  
19 categorized under forum doctrine. See *Make the Rd. by Walking*, 378 F.3d at 142-43. It is  
20 therefore unnecessary for us to identify the type of forum at issue here before assessing  
21 whether OGS's actions were justified: we would apply the same level of scrutiny  
22 whether WD sought to speak in a public forum (as WD contends) or a nonpublic forum  
23 (as defendants contend).

24 As to the effect of classifying WD's speech as commercial, the Supreme Court's  
25 decision in *Matal* is again instructive. Joined by three other Justices, Justice Alito refused  
26 to recognize the government's "interest in preventing speech expressing ideas that



1 enjoining defendants from denying WD’s future Lunch Program applications because  
2 of WD’s use of ethnic slurs in its branding.<sup>8</sup>

### 3 **II. Selective-enforcement claim**

4 Our determination under *Matal* that defendants discriminated against WD’s  
5 viewpoint in violation of the First Amendment leads us to conclude that the District  
6 Court erred also in granting summary judgment in favor of defendants as to WD’s  
7 selective-enforcement claim under the Equal Protection Clause. We therefore reverse  
8 this portion of the District Court’s judgment as well.

9 To prevail on its selective-enforcement theory, WD must show “(1) that [it] was  
10 treated differently from other similarly situated businesses and (2) that such differential  
11 treatment was based on impermissible considerations such as race, religion, intent to  
12 inhibit or punish the exercise of constitutional rights, or malicious or bad faith intent to  
13 injure a person.” *Cine SK8, Inc. v. Town of Henrietta*, 507 F.3d 778, 790 (2d Cir. 2007)  
14 (internal quotation marks omitted). WD argues that, while all other vendors applying to  
15 participate in the Lunch Program—including the “Slidin’ Dirty” truck—were granted  
16 permits, WD’s application was denied because of the exercise of its constitutional rights  
17 in branding itself and its products with ethnic slurs.

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<sup>8</sup> In light of this disposition, we need not reach WD’s argument that OGS’s process for reviewing Lunch Program applications involved a facially unconstitutional exercise of discretion. *See Amidon*, 508 F.3d at 103 (discussing the “constitutional proscription against granting unbridled discretion in the prior restraint context”). WD’s facial challenge focuses on OGS’s decisions to reject otherwise eligible Lunch Program applicants who were, in OGS’s estimation, insufficiently “family-friendly.” In terms of relief, WD requests neither monetary damages, nor an injunction terminating the entire Lunch Program; WD seeks merely to have its application “considered on the same basis as all other applicants[’] and not on the basis of its speech.” Appellant’s Reply Br. 22. We have already concluded that, on the facts of this case, the First Amendment prohibits OGS from denying applicants a permit solely because their branding contains language that some might find objectionable. WD does not identify any other impermissibly discretionary facets of OGS’s process for reviewing Lunch Program applicants. We therefore see no need to assess WD’s theory of facial unconstitutionality.

1           We agree with WD that its selective-enforcement claim has merit. Again,  
2 defendants do not dispute that they denied WD’s Lunch Program applications because  
3 of WD’s use of ethnic slurs. And although defendants have cited WD’s failure to submit  
4 a complete application on time as an additional basis for denying the application, it is  
5 undisputed that other Lunch Program applications were approved despite being late or  
6 incomplete. Defendants point to nothing that distinguishes WD’s application from the  
7 other applications that they approved—aside from their judgment, in violation of the  
8 First Amendment, that WD’s branding was too offensive to be permitted. This  
9 demonstrates that defendants’ “differential treatment was based on . . . intent to inhibit  
10 or punish the exercise of constitutional rights.” *Id.*

11           In light of these undisputed facts, the District Court should have granted  
12 summary judgment in WD’s favor. We therefore reverse the District Court’s judgment  
13 as to WD’s selective-enforcement claim and instruct the District Court, on remand, to  
14 include in its order of relief a declaration that defendants violated WD’s equal  
15 protection rights.

### 16           **III. Claims under the New York State Constitution**

17           The New York State Constitution’s free speech and equal protection provisions  
18 are at least as protective as their federal counterparts. *ONY, Inc. v. Cornerstone*  
19 *Therapeutics, Inc.*, 720 F.3d 490, 498 (2d Cir. 2013) (citing *Immuno AG. v. Moor-Jankowski*,  
20 567 N.E.2d 1270, 1278 (N.Y. 1991)) (free speech); *Brown v. State*, 674 N.E.2d 1129, 1140  
21 (N.Y. 1996) (equal protection). We therefore also reverse the District Court’s judgment  
22 as to WD’s claims under the New York State Constitution and instruct the District Court  
23 to include a declaration of WD’s rights to free speech and equal protection under the  
24 New York State Constitution in the order of relief.

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## CONCLUSION

For the foregoing reasons, we conclude that the District Court should have granted summary judgment in WD’s favor and should not have granted summary judgment for defendants. Under *Matal*, defendants discriminated against WD’s viewpoint and therefore ran afoul of the First Amendment, whether WD’s speech is categorized as commercial speech, speech in a public forum, or speech in a nonpublic forum. Defendants’ actions are not rendered permissible by doctrines applicable to government speech and government contractor speech. Although bearing some similarities to government speech and the regulation of government contractor speech, defendants’ actions are properly characterized as viewpoint-based regulation of private speech. It follows from all of the above that the District Court also reached erroneous conclusions regarding WD’s equal protection and New York State Constitution claims.

Accordingly, the District Court’s judgment is REVERSED, and the cause is REMANDED with instructions to the District Court to enter an order that: (1) declares that defendants’ conduct violated WD’s First Amendment rights and enjoins defendants from denying WD’s future Lunch Program applications solely because of WD’s use of ethnic slurs in its branding; (2) declares that defendants violated WD’s equal protection rights; and (3) declares that defendants violated WD’s rights to free speech and equal protection under the New York State Constitution.