

In The
United States Court of Appeals
For The Eleventh Circuit

HEATHER KOKESCH DEL CASTILLO,

Plaintiff – Appellant,

v.

SECRETARY, FLORIDA DEPARTMENT OF HEALTH,

Defendant – Appellee.

**ON APPEAL FROM THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF FLORIDA**

APPELLANT’S PETITION FOR REHEARING *EN BANC*

Ari Bargil
INSTITUTE FOR JUSTICE
2 South Biscayne Boulevard, Suite 3180
Miami, Florida 33131
(305) 721-1600
abargil@ij.org

Counsel for Appellant

Robert J. McNamara
Paul M. Sherman
INSTITUTE FOR JUSTICE
901 North Glebe Road, Suite 900
Arlington, Virginia 22203
(703) 682-9320
rmcnamara@ij.org
psherman@ij.org

Counsel for Appellant

Kokesch Del Castillo v. Secretary, Florida Department

**CERTIFICATE OF INTERESTED PERSONS
AND CORPORATE DISCLOSURE STATEMENT**

CERTIFICATE OF INTERESTED PERSONS

U.S. District Judge:

1. The Honorable Casey M. Rodgers, U.S. District Judge

Appellant:

2. Heather Kokesch Del Castillo

Appellant's counsel:

3. Bargil, Ari

4. McNamara, Robert

5. Sherman, Paul

Appellee:

6. Celeste Philip, MD, MPH, Surgeon General and Secretary of the
Florida Department of Health

Appellee's counsel:

7. Newhall, Timothy L.

8. Teegen, Elizabeth

9. Williams, Michael J.

CORPORATE DISCLOSURE STATEMENT

I hereby certify that Appellant is a natural person and that no
publicly traded company has an interest in the outcome of this case or
appeal.

CERTIFICATE OF COUNSEL

I express a belief, based on a reasoned and studied professional judgment, that the panel decision is contrary to the following decisions of the Supreme Court of the United States and of this circuit and that consideration by the full court is necessary to secure and maintain uniformity of decisions in this court: *National Inst. of Fam. & Life Advocs. v. Becerra*, 138 S. Ct. 2361 (2018); *Holder v. Humanitarian Law Project*, 561 U.S. 1 (2010); and *Otto v. City of Boca Raton*, 981 F.3d 854 (11th Cir. 2020), *petition for rehearing and rehearing en banc filed* December 11, 2020.

/s/ Robert J. McNamara

ATTORNEY OF RECORD FOR
PLAINTIFF-APPELLANT

TABLE OF CONTENTS

	Page
CERTIFICATE OF INTERESTED PERSONS AND CORPORATE DISCLOSURE STATEMENT.....	C1
CERTIFICATE OF COUNSEL.....	i
TABLE OF CONTENTS	ii
TABLE OF AUTHORITIES	iv
STATEMENT OF ISSUES WARRANTING EN BANC REVIEW.....	1
STATEMENT OF THE COURSE OF PROCEEDINGS	1
STATEMENT OF FACTS	2
ARGUMENT	6
I. The Panel Opinion Conflicts with Supreme Court Precedent.....	7
A. The panel opinion wrongly affords reduced First Amendment protection to “professional speech”	7
B. The panel opinion conflicts with the Supreme Court’s holdings on the distinction between speech and conduct	12
II. The Panel Opinion Conflicts with Circuit Precedent.....	16
CONCLUSION	20

CERTIFICATE OF COMPLIANCE

CERTIFICATE OF FILING AND SERVICE

ADDENDUM

TABLE OF AUTHORITIES

	Page(s)
CASE	
<i>Billups v. City of Charleston,</i>	
961 F.3d 673 (4th Cir. 2020)	14, 15
<i>Brokamp v. District of Columbia,</i>	
No. 20-3574,	
2022 U.S. Dist. LEXIS 40158 (D.D.C. March 7, 2022)	19
<i>Cohen v. California,</i>	
403 U.S. 15 (1971)	18
<i>Hines v. Alldredge,</i>	
783 F.3d 197 (5th Cir. 2015)	12
<i>Hines v. Quillivan,</i>	
2021 WL 5833886 (S.D. Tex. Dec. 9, 2021)	12
<i>*Holder v. Humanitarian Law Project,</i>	
561 U.S. 1 (2010)	<i>passim</i>
<i>Locke v. Shore,</i>	
634 F.3d 1185 (11th Cir. 2011)	<i>passim</i>
<i>*Chief authorities are designated by an asterisk.</i>	

Lowe v. SEC,

472 U.S. 181 (1985) 8, 9

Moore-King v. County of Chesterfield,

708 F.3d 560 (4th Cir. 2013) 10, 11

**Nat’l Inst. of Fam. & Life Advocs. v. Becerra,*

138 S. Ct. 2361 (2018) *passim*

**Otto v. City of Boca Raton,*

981 F.3d 854 (11th Cir. 2020) *passim*

Pac. Coast Horseshoeing Sch., Inc. v. Kirchmeyer,

961 F.3d 1062 (9th Cir. 2020) 14, 15

Vizaline, LLC v. Tracy,

949 F.3d 927 (5th Cir. 2020) 12

Wollschlaeger v. Governor of Fla.,

848 F.3d 1293 (11th Cir. 2017) 9, 10

STATUTES

Fla. Stat. § 468.503(5) 3

Fla. Stat. § 468.503(10) 4

Fla. Stat. § 468.504 3

Fla. Stat. § 468.505 5

Fla. Stat. § 468.517(a) 4

STATEMENT OF ISSUES WARRANTING EN BANC REVIEW

Whether a restriction on protected speech can evade First Amendment scrutiny so long as it is imposed pursuant to a “statute that governs the practice of an occupation.”

STATEMENT OF THE COURSE OF PROCEEDINGS

Heather Kokesch Del Castillo filed this First Amendment challenge to Florida’s prohibition on providing individualized diet advice without first becoming a state-licensed dietician in 2017. Doc. 1 – Pg. 1. After discovery, the parties cross-moved for summary judgment, and the district court granted Defendant’s motion. The district court reasoned that this case is controlled by *Locke v. Shore*, 634 F.3d 1185 (11th Cir. 2011), and that Florida’s law must therefore be upheld as a permissible regulation of the conduct of “practicing dietetics” with only an “incidental” effect on speech—even when applied to someone like Del Castillo, whose “practice” of dietetics consisted solely of speech.

On appeal, a panel of this Court affirmed. It held that *Locke* remains good law despite the Supreme Court’s decision in *National Institute of Family & Life Advocates (NIFLA) v. Becerra*, 138 S. Ct. 2361 (2018). In *NIFLA*, the Supreme Court expressly disapproved the

“professional speech” doctrine that had been adopted by several circuits (including by this Court in *Locke*). 138 S. Ct. at 2371–75. But, in the panel’s view, this decision did not abrogate *Locke* because *Locke* had two holdings, only one of which was abrogated by *NIFLA*. One holding was that licensing requirements that generally govern “occupational conduct” without regulating too much speech do “not implicate constitutionally protected activity under the First Amendment.” Slip op. at 12. The other was that “if ‘the government enact[s] generally applicable licensing provisions limiting the class of persons who may practice [a] profession, it cannot be said to have enacted a limitation on freedom of speech.’” Slip op. at 13. On the panel’s view, only this second holding counts as the “professional speech doctrine” and thus only this holding is abrogated by the Supreme Court’s decision in *NIFLA*. But, as explained below, the panel opinion’s holding is error, and rehearing by the full Court is warranted.

STATEMENT OF FACTS

Heather Kokesch Del Castillo is a certified health coach who founded Constitution Nutrition, a health- and nutrition-coaching business, as a California resident. Doc. 25-2 – Pg. 3–4 ¶¶ 8–9. Through

Constitution Nutrition, Heather provided advice on health, exercise, and (most relevant here) diet. Doc. 25-2 – Pgs. 3–4, ¶ 9. She never represented herself as a dietician or a nutritionist—and, indeed, promptly corrected anyone who had the mistaken impression that she was one—and her clients appreciated her advice, providing uniformly positive reviews on sites like Yelp. Doc 25-2 – Pg. 4 ¶ 10. That advice was the only product she sold—her “business consisted entirely of written and spoken communication[.]” Doc. 25-2 – Pg. 5 ¶ 12.

This successful business ran into trouble, though, after Heather moved to Florida when her husband (an airman in the U.S. Air Force) was transferred. Doc. 25-2 – Pg. 5, ¶ 12. Florida, unlike California, requires a state license to engage in the practice of “dietetics and nutrition practice or nutrition counseling” for compensation. Fla. Stat. § 468.504. The statute’s definition of the scope of this “practice” is broad. “[D]ietetics” includes “recommending appropriate dietary regimens[.]” Fla. Stat. § 468.503(5). “[N]utrition counseling” encompasses “advising and assisting individuals or groups of appropriate nutrition intake by integrating information from [a]

nutrition assessment.” Fla. Stat. § 468.503(10).¹ Giving this sort of dietary advice without a license—even if someone makes clear they are not a licensed dietician or nutritionist—is a misdemeanor. Fla. Stat. § 468.517(a).

Heather learned all this the hard way. After receiving a complaint from a licensed dietician who saw Heather’s small advertisement in a local magazine, the Department of Health undertook a sting operation. An undercover investigator, using the alias “Pat Smith,” sent Heather an email asking about her services, and Heather responded with a brief overview of her business and a standard background form created by the Institute for Integrative Nutrition. Doc. 25-1 – Pgs. 17–18; Doc. 25-2 – Pg. 6 ¶¶ 15–17. That was all it took: With no further communication, the Department determined that there was probable cause to believe Heather was practicing as a dietician or nutritionist without a license. Doc. 25-1 – Pgs. 45–47; Doc. 25-2 – Pg. 6 ¶ 16. It ordered her to cease

¹ While this case was on appeal, Florida somewhat narrowed its licensing requirement, which now prohibits this advice only to the extent one’s listener is “under the direct care and supervision of a medical doctor for a disease or medical condition requiring nutrition intervention.” Slip op. at 8 n.1. As the panel opinion correctly noted, this change does not materially affect the analysis or moot the case. *Id.*

and desist and to pay a fine of \$754.09. *Id.* In response, Heather paid the fine and shut down her business. Doc. 25-2 – Pg. 8 ¶ 22.

The sole basis for Heather’s fine was that she was providing advice. Doc. 25-1 – Pg. 14 (testifying that the “sole basis for th[e] complaint is that Ms. Del Castillo was not licensed to provide dietary advice,” and “not that anyone was harmed by her advice”). And the record is devoid of evidence that prohibiting this sort of one-on-one advice could survive any serious constitutional scrutiny. Advice exactly like Heather’s is readily available in the form of books, websites, and podcasts. Doc. 25-2 – Pg. 4 ¶ 10. Unlicensed individuals ranging from acupuncturists to nutrition-supplement salespeople are free to give dietary advice without a dietician license. *See* Fla. Stat. § 468.505. And the Department’s witnesses confessed they knew of no evidence that the licensing requirement makes Floridians safer, that any Floridian has ever been harmed by the dispensation of unlicensed advice, or that the adoption of the licensing requirement reduced any harm to the public. *See* Brief of Appellant 11–12 (collecting record citations).

In sum, it is undisputed that Heather was punished by the government solely because she offered diet advice, for compensation,

without a license. And Florida cannot produce any significant evidence that it is achieving any government interest (let alone a compelling one) by prohibiting Heather from talking to people about their diets. The only question is whether the Department *needs* any evidence to defend its decision to order Heather to stop giving people helpful advice.

ARGUMENT

The panel opinion in this case holds that *Locke v. Shore*, 634 F.3d 1185 (11th Cir. 2011), requires courts in this Circuit to uphold speech restrictions like the one here when the restrictions flow from “a ‘statute that governs the practice of an occupation . . . so long as any inhibition of [free speech] is merely the incidental effect of observing an otherwise legitimate regulation.’” This conclusion warrants en banc review for two reasons. First, it conflicts Supreme Court’s decisions in *NIFLA v. Becerra*, 138 S. Ct. 2361 (2018) and *Holder v. Humanitarian Law Project*, 561 U.S. 1 (2010)—and, correspondingly, conflicts with decisions from other circuits correctly applying these precedents. Second, it conflicts with this Court’s decision in *Otto v. City of Boca*

Raton, 981 F.3d 854 (11th Cir. 2020),² which correctly held that the government may not evade First Amendment scrutiny simply by labeling otherwise-protected speech as “professional conduct.”

I. The Panel Opinion Conflicts with Supreme Court Precedent.

The panel opinion conflicts with the Supreme Court’s decision in *NIFLA v. Becerra*, and as a result conflicts with Fifth Circuit caselaw that correctly applies *NIFLA* to reject, almost word-for-word, the holding of the panel opinion here. The panel opinion also conflicts with the Supreme Court’s decision in *Holder v. Humanitarian Law Project*, 561 U.S. 1 (2010), and as a result conflicts with cases from other circuits that have faithfully applied *Holder* to reject the argument (accepted by the panel here) that restrictions on speech may escape First Amendment scrutiny so long as they are imposed pursuant to a licensing law generally aimed at conduct.

A. The panel opinion wrongly affords reduced First Amendment protection to “professional speech.”

The Supreme Court’s holding in *NIFLA v. Becerra* is clear. “Th[e] Court’s precedents do not recognize [] a tradition [of reduced protection]

² A petition for rehearing was filed in *Otto* on December 11, 2020. It remains pending.

for a category called ‘professional speech.’” 138 S. Ct. at 2372. To the contrary, “[s]peech is not unprotected merely because it is uttered by ‘professionals.’” *Id.* at 2372–73. Allowing such a rule, the Court warned, would give states “unfettered power to reduce a group’s First Amendment rights simply by imposing a licensing requirement.” *Id.* at 2375.

The panel opinion acknowledges that this holding abrogates the panel opinion in *Locke v. Shore* to the extent that opinion relied on Justice White’s concurrence in *Lowe v. SEC*, 472 U.S. 181, 232 (1985) (White, J., concurring). Slip op. at 13. But, said the panel, most of the opinion in *Locke* had nothing to do with professional speech and was instead based on the distinction between “speech” on the one hand and “occupational conduct” on the other.

This is incorrect. The panel’s rule (“regulations that govern occupational conduct with only an incidental effect on speech withstand First Amendment scrutiny” (slip op. at 12 (cleaned up))) is simply a rearticulation of the professional-speech doctrine itself, and it is clearly foreclosed by the Supreme Court’s decision in *NIFLA*.

To begin, the panel opinion is wrong to say any of *Locke*'s analysis was independent of Justice White's *Lowe* concurrence. To the contrary, Justice White in *Lowe* (just like the panel here) would have rejected First Amendment scrutiny because "the professional's speech is incidental to the conduct of the profession." 472 U.S. at 232 (White, J., concurring).

And Justice White's formulation (like the panel opinion's) is not the Supreme Court's test for distinguishing speech from conduct. As explained more fully in Part B below, courts distinguishing speech from conduct focus on the specific conduct triggering the application of a regulation, not the general sweep of the regulation itself. Justice White's formulation is, instead, a special rule governing how the First Amendment interacts with licensing laws.

Indeed, this Court sitting en banc has already recognized as much. In *Wollschlaeger v. Governor of Florida*, the en banc court confronted a restriction on doctors' asking their patients questions about firearms. 848 F.3d 1293, 1302 (11th Cir. 2017) (en banc). State officials there argued that "the First Amendment is not implicated because any effect on speech is merely incidental to the regulation of professional conduct."

Id. at 1308. The en banc opinion made short work of that argument, reasoning that “[s]aying that restrictions on writing and speaking are merely incidental to speech is like saying that limitations on walking and running are merely incidental to ambulation.” *Id.* But it expressly noted that the panel decision in *Locke* was “not of much help” in that case because *Locke* concerned only a licensing requirement, not a restriction on what already-licensed professionals could say. *Id.* at 1309. In that way, the en banc court said, *Locke* was more akin to the Fourth Circuit’s decision in *Moore-King v. County of Chesterfield*, 708 F.3d 560 (4th Cir. 2013), which also upheld a licensing law against a First Amendment challenge. *Wollschlaeger*, 848 F.3d at 1309.

This Court’s analysis in *Wollschlaeger* was correct. *Locke* (like the panel opinion in this case) was indeed following the same rule as the Fourth Circuit in *Moore-King*, which held that a restriction on speech “raises no First Amendment problem where it amounts to ‘generally applicable licensing provisions’ affecting those who practice the profession.” *Moore-King*, 708 F.3d at 569; *see also id.* (quoting earlier circuit precedent characterizing speech restriction as “the incidental effect of observing an otherwise legitimate [licensing] regulation”).

Locke and *Moore-King* dealt with different occupations, but each held that the First Amendment was inapplicable so long as the government was enforcing an occupational-licensing requirement.

The problem is that this rule, which allows speech protections to vary up or down depending on whether the state has imposed a licensing law, is *exactly* the professional-speech doctrine rejected in *NIFLA*. Indeed, in explaining why the professional-speech doctrine that had taken root in the circuit courts was wrong, the *NIFLA* opinion pointed to *Moore-King*, specifically, as an example of the problems with the doctrine. *NIFLA*, 138 S. Ct. at 2375 (citing *Moore-King* with disapproval). If the panel opinion in *Locke* was applying a rule like *Moore-King* (as this Court, sitting en banc, has said it was) and if the rule in *Moore-King* was wrong (as the Supreme Court has said it was), then the rule articulated by the *Locke* panel must be wrong as well. The panel opinion's insistence on following it therefore conflicts directly with *NIFLA*.

This failure to follow *NIFLA* also puts this Court in conflict with other courts of appeals that have already held that *NIFLA* abrogates their own substantially similar rules. For example, the panel opinion's

rule here also tracks almost verbatim a pre-*NIFLA* decision from the Fifth Circuit. In *Hines v. Alldredge*, the Fifth Circuit (relying in part on *Locke*) held that “state regulation of the practice of a profession, even though that regulation may have an incidental impact on speech, does not violate the Constitution.” 783 F.3d 197, 201 (5th Cir. 2015). But the Fifth Circuit, unlike the panel here, has since squarely rejected this rule under *NIFLA*, holding that the rule in *Hines* has been abrogated. *Vizaline, LLC v. Tracy*, 949 F.3d 927, 928 n.1 (5th Cir. 2020); *cf. Hines v. Quillivan*, 2021 WL 5833886 (S.D. Tex. Dec. 9, 2021) (holding that the restrictions on veterinary advice challenged in *Hines v. Alldredge* were properly subject to strict scrutiny post-*NIFLA*). The panel opinion neither cites *Hines* nor explains why its stated rule survives *NIFLA* when the near-identical rule in *Hines* did not. En banc review is warranted because the Fifth Circuit is on the correct side of this circuit split: The rule laid out in *Hines* and in the panel opinion here is squarely foreclosed by *NIFLA*.

B. The panel opinion conflicts with the Supreme Court’s holdings on the distinction between speech and conduct.

The panel’s focus on the validity of the underlying licensing law here led it into further conflict with Supreme Court precedent. In

determining that the restriction on Heather’s speech need not survive First Amendment scrutiny, it held that Florida’s law “is a professional regulation with a merely incidental effect on protected speech.” Slip op. at 26. But that is the opposite of the analysis required by Supreme Court precedent: The question is not whether *a law* is a regulation of conduct. Instead, the question is whether the law’s *application* in a particular instance is triggered by speech.

The controlling case here is *Holder v. Humanitarian Law Project*, 561 U.S. 1 (2010). In that case, a group of plaintiffs that included attorneys challenged the federal prohibition on providing “material support” to designated foreign terrorist groups, arguing that it prevented them from providing those groups with training, advice, and legal expertise. *Id.* at 15. The government there, as here, argued that the law was permissible because it “*generally* function[ed] as a regulation of conduct.” *Id.* at 27. And so it did—after all, lots of material support to terrorists does not take the form of speech. But the Supreme Court, unlike the panel opinion here, rejected that argument, holding that “[t]he law here may be described as directed at conduct . . . , but as

applied to plaintiffs the conduct triggering coverage under the statute consists of communicating a message.” *Id.* at 28.

Other circuits have relied on *Humanitarian Law Project* to reject similar arguments for the same reason. The Ninth Circuit, for example, rejected the State of California’s argument that an aspect of its education-licensing law did not implicate speech because it was merely a licensing law. *Pac. Coast Horseshoeing Sch., Inc. v. Kirchmeyer*, 961 F.3d 1062, 1069–70 (9th Cir. 2020). It was true, the Ninth Circuit conceded, that the law was “a form of education licensing” and that it “regulate[d] enrollment agreements[,]” which were conduct rather than speech. *Id.* at 1069. But, applying *Humanitarian Law Project* and *NIFLA*, the court there held that the law was nonetheless a restriction on speech because as applied it hinged on the type of education an institution provided, restricting *vocational* but not *avocational* training. *Id.* at 1070. So too in the Fourth Circuit, which declined to hold that a business-licensing requirement for tour guides was purely a regulation of “conduct,” holding that “it is well-established that a law aimed at regulating businesses can be subject to First Amendment scrutiny even though it does not directly regulate speech.” *Billups v. City of*

Charleston, 961F.3d 673, 683 (4th Cir. 2020) (citing *Humanitarian Law Project*, 561 U.S. at 28).

The panel opinion cites none of these cases—not *Humanitarian Law Project* or any of the circuit opinions faithfully applying it. But the holdings of these cases (if followed) would control here. As in *Humanitarian Law Project*, the licensing law here applies to Heather if she provides one type of advice (diet advice) but not another (exercise advice). *Cf.* 561 U.S. at 27 (holding law content-based where it restricted speech derived from “specialized knowledge” but not speech based on general knowledge). And, as in *Pacific Coast Horseshoeing*, the practical effect of the law is to forbid some people (like Heather) from providing certain kinds of advice or education to willing listeners. *Cf.* 961 F.3d at 1069 (noting that in practice the rule there “regulates what kind of educational programs different institutions can offer to different students”). And as in *Billups*, the result is a complete prohibition on otherwise-protected speech by unlicensed people. 961 F.3d at 683 (noting that the ordinance “completely prohibits unlicensed tour guides from leading visitors on paid tours”). The only explanation for why this case came out differently from those is that the panel opinion here

(without acknowledging it) applied a different rule than the one prescribed by the Supreme Court.

* * *

The panel opinion creates a conflict with the clear teachings of the Supreme Court. And it creates a conflict with the holdings of other circuits that have followed Supreme Court precedent on these points. En banc review is therefore warranted.

II. The Panel Opinion Conflicts with Circuit Precedent.

En banc review is also warranted here because a different panel of this Court rejected exactly this sort of speech/conduct analysis just over a year ago. In *Otto v. City of Boca Raton*, two state-licensed marriage and family therapists challenged local ordinances that outlawed treating minors with “[a]ny counseling, practice or treatment performed with the goal of changing an individual’s sexual orientation or gender identity.” 981 F.3d 854, 859–60 (11th Cir. 2020). The plaintiffs there argued that this violated the First Amendment as applied to the talk therapy they practiced. And the government there made arguments much like those made here, contending that the ordinance was simply a valid regulation of professional conduct.

But there, the government lost. The *Otto* panel affirmatively rejected the idea that the “governments’ characterization of their ordinances as professional regulations” affected the degree of First Amendment scrutiny. *Id.* at 861. It also affirmatively rejected the “practice of relabeling controversial speech as conduct” as being incapable of principled application. *Id.*

More, the panel held that the case did not involve “incidental speech swept up in the regulation of conduct” because the plaintiffs’ speech was “not connected to any regulation of separately identifiable [non-speech] conduct.” *Id.* at 865. By this, the panel did not mean that the *ordinance* was not directed at conduct—it obviously was, in that it forbade any “treatment . . . with the goal of changing an individual’s sexual orientation,” not just talk therapy. *Id.* at 859. Instead, it meant that the specific plaintiffs *in that case* did no more than talk to their clients. *Id.* at 865.

The same should hold true here. No one disputes that Heather was fined only because she gave “dietary advice” without a license. Yet the panel opinion here suggests that Heather’s advice is unprotected because she also engages in the unprotected “conduct” of “[a]ssessing a

client's nutrition needs" before she advises them. Slip op. at 24. But protected "advice" cannot be transformed into unprotected conduct just because the speaker also *decides what to say*. Surely the plaintiffs in *Otto* made similar assessments of their clients before giving them the "advice" that the panel found to be clearly protected speech. 981 F.3d at 866. And surely the plaintiffs who sought to give "advice on petitioning the United Nations" in *Humanitarian Law Project* would have assessed what petition would be most effective in the circumstances. 561 U.S. at 27.

These assessments are irrelevant, though, because, both here and in *Otto*, the law is not triggered by *thinking about* what advice to give; it is triggered by *giving the advice*. See Doc. 25-1 – Pg. 14 (testifying that the "sole basis for th[e] complaint is that Ms. Del Castillo was not licensed to provide dietary advice"). Take the *Otto* panel's own example of *Cohen v. California*, 403 U.S. 15 (1971). There, the defendant was punished because he "had worn a profanity-emblazoned jacket in front of women and children[.]" but it was irrelevant that the defendant had engaged in the conduct of "putting on the shirt" because the only thing that triggered punishment was "the message communicated by the

shirt.” 981 F.3d at 866. Similarly, Heather was not punished for any conduct in this case; she was punished, expressly and exclusively, for communicating dietary advice. Under any fair reading of *Otto*, that triggers strict scrutiny. Indeed, just this week, a district court relied on *Otto* to hold that a prohibition on unlicensed online counseling was subject to strict scrutiny. *Brokamp v. District of Columbia*, No. 20-3574, 2022 U.S. Dist. LEXIS 40158 (D.D.C. March 7, 2022).

Again, the only explanation for the different outcomes here and in *Otto* is that the opinions applied different rules. The *Otto* panel not only rejected the argument that giving advice was conduct, it all but ridiculed it. 981 F.3d at 866 (“If speaking to clients is not speech, the world is truly upside down.”). The panel opinion here, however, affirmatively embraced the idea, holding that the First Amendment was not implicated even though the law concededly forbids Heather from “convey[ing] her advice and recommendations” to her clients. Slip op. at 25. The two opinions are irreconcilable—to take the *Otto* panel’s phrase, one of them must be “truly upside down.” En banc review is warranted so the full Court may decide which one.

CONCLUSION

For the foregoing reasons, the petition for rehearing en banc should be granted.

Respectfully submitted,

Ari Bargil (FL Bar No. 71454)
INSTITUTE FOR JUSTICE
2 S. Biscayne Blvd., Suite 3180
Miami, FL 33131
Telephone: (305) 721-1600
Fax: (305) 721-1601
Email: abargil@ij.org

/s/ Robert J. McNamara
Robert J. McNamara
(VA Bar No. 73208)
Paul M. Sherman
(VA Bar No. 73410)
INSTITUTE FOR JUSTICE
901 North Glebe Road, Suite 900
Arlington, VA 22203
Telephone: (703) 682-9320
Fax: (703) 682-9321
Email: rmcnamara@ij.org;
psherman@ij.org

Counsel for Appellant

CERTIFICATE OF COMPLIANCE

This petition complies with the type-volume limitations of Federal Rule of Appellate Procedure 35(b)(2)(A) and Federal Rule of Appellate Procedure 40(b)(1) because this petition contains 3,786 words.

This brief complies with the typeface requirements of Federal Rule of Appellate Procedure 32(a)(5) and the type-style requirements of Federal Rule of Appellate Procedure 32(a)(6) because this brief has been prepared in a proportionally spaced typeface using Microsoft Word in 14-point Century Schoolbook font.

Ari Bargil (FL Bar No. 71454)
INSTITUTE FOR JUSTICE
2 S. Biscayne Blvd., Suite 3180
Miami, FL 33131
Telephone: (305) 721-1600
Fax: (305) 721-1601
Email: abargil@ij.org

/s/ Robert J. McNamara
Robert J. McNamara
(VA Bar No. 73208)
Paul M. Sherman
(VA Bar No. 73410)
INSTITUTE FOR JUSTICE
901 North Glebe Road, Suite 900
Arlington, VA 22203
Telephone: (703) 682-9320
Fax: (703) 682-9321
Email: rmcnamara@ij.org;
psherman@ij.org

Counsel for Appellant

CERTIFICATE OF FILING AND SERVICE

I certify that on this 10th day of March 2022, I caused this Petition for Rehearing En Banc to be filed electronically with the Clerk of the Court using the CM/ECF System, which will send notice of this filing to the following registered CM/ECF users:

Elizabeth Teegen
Senior Assistant Attorney General
Timothy L. Newhall
Senior Assistant Attorney General
Complex Litigation
OFFICE OF THE ATTORNEY GENERAL
The Capitol, PL-01
Tallahassee, Florida 32399-1050

Michael J. Williams
Assistant General Counsel
OFFICE OF GENERAL COUNSEL
Florida Department of Health
4052 Bald Cypress Way, Bin A-02
Tallahassee, Florida 32399-3265

*Counsel for Appellee Florida
Surgeon General and Secretary of
Health*

*Counsel for Appellee Florida
Department of Health*

I further certify that on this 10th day of March 2022, I caused the required number of bound copies of the Petition for Rehearing En Banc to be filed by UPS Next Day Air with the Clerk of this Court.

/s/ Robert J. McNamara

Robert J. McNamara
INSTITUTE FOR JUSTICE

ADDENDUM

[PUBLISH]

In the
United States Court of Appeals
For the Eleventh Circuit

No. 19-13070

HEATHER KOKESCH DEL CASTILLO,

Plaintiff-Appellant,

versus

SECRETARY, FLORIDA DEPARTMENT OF HEALTH,

Defendant-Appellee.

Appeal from the United States District Court
for the Northern District of Florida
D.C. Docket No. 3:17-cv-00722-MCR-HTC

Before BRANCH, LUCK, and ED CARNES, Circuit Judges.

LUCK, Circuit Judge:

Heather Kokesch Del Castillo, an unlicensed dietician and nutritionist, claims that Florida's Dietetics and Nutrition Practice Act, which requires a license to practice as a dietician or nutritionist, violates her First Amendment free speech rights to communicate her opinions and advice on diet and nutrition to her clients. The district court granted summary judgment for the Florida Department of Health, which enforces the Act, on Del Castillo's First Amendment free speech claim because, the district court concluded, it was bound by our decision in *Locke v. Shore*, 634 F.3d 1185 (11th Cir. 2011). *Locke* held that a similar state licensing scheme for commercial interior designers did not violate the free speech rights of unlicensed interior designers.

Del Castillo argues that the district court erred, and we are not bound by *Locke*, because *Locke* was abrogated by the Supreme Court's decision in *National Institute of Family & Life Advocates v. Becerra*, 138 S. Ct. 2361 (2018). So the narrow question for us is whether *Locke* is still good law after *NIFLA*. After reviewing what we said in *Locke*, what the Supreme Court said in *NIFLA*, and our prior panel precedent rule, we hold that it is. And because *Locke* is still good law, we conclude that we are bound to affirm the district court's summary judgment for the department.

FACTUAL BACKGROUND

Del Castillo owned and operated a health-coaching business called Constitution Nutrition. She started her business in California, which did not require her to have a license to operate it. After moving to Florida in 2015, Del Castillo continued to run her business—meeting online with most of her clients and meeting in person with two clients who lived in Florida. She described herself as a “holistic health coach” and not as a dietician. Del Castillo tailored her health coaching to each client, which included dietary advice. She advertised her business in a local health magazine, on Facebook, and on flyers at a local gym.

Del Castillo’s business focused on “[o]ne-on-one health coaching,” which she described as “meeting with clients and discussing overall health and wellness, as well as goal setting.” She gave them tailored advice on dietary choices, exercise habits, and general lifestyle strategies. For example, Del Castillo recommended vitamin supplements to some clients with low energy and told them to consult with their physicians before taking the supplements. For another client with food intolerances, Del Castillo recommended health goals that fit within a list of foods to avoid provided by the client’s doctor.

Before her initial consultation with a new client, Del Castillo would ask them to fill out a “health history form.” The health history form sought general background information about the client, like his or her age and occupation, as well as particulars about the client’s dietary health, including past serious illness or recent

weight change. Del Castillo used this form to get an overall picture of her client's health but did not make medical conclusions. Instead, she would recommend that a client consult a doctor if the client had experienced something unusual like drastic weight loss. Del Castillo never held herself out to her clients as a health care professional, never gave a diagnosis or provided medical treatment, and never gave advice contrary to physician advice.

Del Castillo had a certificate in holistic health coaching that she received from an online school. But she did not have a Florida dietitian or nutritionist license. Del Castillo was not qualified to receive a license because she lacked the necessary education and professional experience.

Del Castillo's lack of a license eventually became a problem for her business. Florida regulates dietetics and nutrition counseling through the Dietetics and Nutrition Practice Act. Fla. Stat. §§ 468.501–.518. The Act defines “[d]ietetics” as “the integration and application of the principles derived from the sciences of nutrition, biochemistry, food, physiology, and management and from the behavioral and social sciences to achieve and maintain a person's health throughout the person's life.” *Id.* § 468.503(4). It defines “[n]utrition counseling” as “advising and assisting individuals or groups on appropriate nutrition intake by integrating information from the nutrition assessment.” *Id.* § 468.503(10). The Act provides that “[d]ietetics and nutrition practice” “include[s] assessing nutrition needs and status using appropriate data; recommending appropriate dietary regimens, nutrition support, and nutrient intake;

ordering therapeutic diets; improving health status through nutrition research, counseling, and education; and developing, implementing, and managing nutrition care systems.” *Id.* § 468.503(5). And, relevant to this appeal, the Act provides that “[n]o person may engage for remuneration in dietetics and nutrition practice or nutrition counseling or hold himself or herself out as a practitioner of dietetics and nutrition practice or nutrition counseling unless the person is licensed in accordance with the provisions of this part.” *Id.* § 468.504. Under the Act, a person who knowingly engages in unlicensed “dietetics and nutrition practice or nutrition counseling for remuneration” commits “a misdemeanor of the first degree.” *Id.* § 468.517(1), (2).

In March 2017, a licensed dietician filed a complaint against Del Castillo with the Florida Department of Health, alleging that Del Castillo was violating the Act by providing nutritionist services without a license. The department’s practice was to investigate every complaint, so it opened an investigation into Del Castillo. A department investigator posed as a client and contacted Del Castillo about her services. In response, Del Castillo described her services and provided the investigator with a health history form to fill out. The department concluded that Del Castillo was violating the Act and, in May 2017, sent her a citation and a cease-and-desist order. Del Castillo paid the department \$500.00 in fines and \$254.09 in investigatory fees for “providing individualized dietary advice in exchange for compensation in Florida.”

PROCEDURAL HISTORY

Del Castillo brought a 42 U.S.C. section 1983 action against the department, claiming that the Act, as applied to her, violated her First Amendment free speech rights. She sought a declaratory judgment that the Act is “unconstitutional to the extent that [it] prohibit[s] [her] and others similarly situated from offering individualized advice about diet and nutrition.” She also requested injunctive relief and attorneys’ fees and costs.

After discovery, both parties moved for summary judgment. The department argued that the Act was a lawful regulation of the dietetics and nutritionist profession. Because any restriction of Del Castillo’s speech was merely incidental to the regulation of professional conduct, the department maintained, the Act was not subject to First Amendment scrutiny and did not violate Del Castillo’s free speech rights. The department relied on our decision in *Locke*, which upheld Florida’s licensing scheme for interior designers against a free speech challenge similar to Del Castillo’s challenge in this case because that regulation governed occupational conduct with only an incidental effect on speech.

Del Castillo argued in her motion for summary judgment that her dietary advice to her clients was pure speech rather than conduct. Del Castillo argued that the Act was a content-based regulation of her speech and was, therefore, subject to strict scrutiny. The Act couldn’t survive strict scrutiny, Del Castillo maintained, because it wasn’t narrowly tailored to address a compelling government interest. Finally, Del Castillo argued that *Locke* had been

abrogated by the Supreme Court's recent decision in *NIFLA* because *Locke* relied on the "professional speech doctrine" and the *NIFLA* Court "expressly rejected the professional speech doctrine."

The district court granted the department's motion for summary judgment and denied Del Castillo's. It concluded that our "binding" decision in *Locke* "controls the outcome of this case." The district court explained that in *Locke*, we rejected a challenge to Florida's licensing scheme for commercial interior designers because a statute that governs "the practice of an occupation is not unconstitutional as an abridgement of the right to free speech, so long as any inhibition of that right is merely the incidental effect of observing an otherwise legitimate regulation." The district court said that *Locke* also relied on the principle that "generally applicable licensing provisions limiting the class of persons who may practice the profession" are not subject to First Amendment scrutiny.

The district court concluded that the Act's dietitian and nutrition licensing scheme was like the licensing scheme we upheld in *Locke*. This was because, the district court said, the licensing scheme that Del Castillo challenged had an "impact on speech" that was "merely incidental to the regulation of the profession" of dietitians and nutritionists. The district court concluded that, under *Locke*, the Act was "not subject to heightened scrutiny because it is a generally applicable professional licensing statute with a merely incidental impact on speech."

The district court rejected Del Castillo's argument that the Supreme Court's decision in *NIFLA* had abrogated *Locke*. The

district court reasoned that although the *NIFLA* Court had declined to recognize “professional speech” as a unique category of speech exempt from ordinary First Amendment principles, the second reason for *Locke*’s holding, it had reaffirmed that states “may regulate professional conduct, even though that conduct incidentally involves speech,” consistent with the first reason for *Locke*’s holding. Thus, the district court applied rational basis review to Del Castillo’s First Amendment claim and concluded that the Act was rationally related to a legitimate state interest: the promotion of public health and safety.

Del Castillo appeals the district court’s summary judgment for the department.¹

¹ After we heard oral argument in this case, the department filed a motion to dismiss the appeal as moot. In 2020, Florida amended the Act to exempt from the state’s licensing requirement certain persons providing nutritional advice. The new exception applies to:

Any person who provides information, wellness recommendations, or advice concerning nutrition, or who markets food, food materials, or dietary supplements for remuneration, if such person does not provide such services to a person under the direct care and supervision of a medical doctor for a disease or medical condition requiring nutrition intervention, not including obesity or weight loss, and does not represent himself or herself as a dietitian, licensed dietitian, registered dietitian, nutritionist, licensed nutritionist, nutrition counselor, or licensed nutrition counselor, or use any word, letter, symbol, or insignia indicating or implying that he or she is a dietitian, nutritionist, or nutrition counselor.

STANDARD OF REVIEW

We review de novo the district court's grant of summary judgment. *Buending v. Town of Redington Beach*, 10 F.4th 1125, 1130 (11th Cir. 2021). Summary judgment is appropriate when "there is no genuine dispute as to any material fact and the movant is entitled to judgment as a matter of law." Fed. R. Civ. P. 56(a). In ruling on a motion for summary judgment, a court must "resolve all ambiguities and draw reasonable factual inferences from the evidence in the non-movant's favor." *Buending*, 10 F.4th at 1130 (quotation marks omitted).

Fla. Stat. § 468.505(1)(n) (2020). The department argues that this amendment exempts Del Castillo's business and moots her appeal. Del Castillo responds that her business is not covered by the new exception because she has had, and in the future wants to be free to have, clients who are "under the direct care and supervision of a medical doctor for a disease or medical condition requiring nutrition intervention."

"Generally, when an ordinance is repealed any challenges to the constitutionality of that ordinance become moot." *Coal. for the Abolition of Marijuana Prohibition v. City of Atlanta*, 219 F.3d 1301, 1310 (11th Cir. 2000). But, "when an ordinance is repealed by the enactment of a superseding statute, then the superseding statute or regulation moots a case only to the extent that it removes challenged features of the prior law." *Id.* (quotation marks omitted). Here, the amendment to the Act did not remove all of the Act's features that Del Castillo challenged. Del Castillo still challenges the part of the Act prohibiting her from giving dietetic and nutritional advice to paying clients who are under the supervision of a doctor for a disease or medical condition requiring nutrition intervention. Thus, her First Amendment challenge to the Act is not moot.

DISCUSSION

Del Castillo argues that the Act, as applied to her and her business of giving clients individualized dietary and nutrition advice, is a content-based regulation of speech that is subject to strict scrutiny. She contends that the district court erred in relying on *Locke* because *NIFLA* abrogated *Locke*. And regardless of what level of scrutiny we apply to the Act, Del Castillo argues, the department failed to justify the burden on her First Amendment free speech rights.

We conclude that *Locke* is still good law and controls the outcome of this case. We break up our discussion into four parts. First, we discuss *Locke* and the two reasons the *Locke* court gave for why Florida's interior designer licensing scheme did not violate the First Amendment: the professional speech doctrine; and the licensing scheme regulated professional conduct with only an incidental effect on speech. Second, we review *NIFLA*, its refusal to recognize the professional speech doctrine, and its reaffirmation that the regulation of professional conduct that has only an incidental effect on speech does not violate the First Amendment. Third, we apply our prior panel precedent rule and discuss how one of the two independent reasons for our decision in *Locke*—that the regulation of professional conduct with an incidental effect on speech does not violate the First Amendment—was not abrogated by, but instead survived, *NIFLA*. And finally, we apply *Locke* to this case and conclude that the Act's dietician and nutritionist licensing scheme did not violate Del Castillo's free speech rights.

19-13070

Opinion of the Court

11

because, like the interior designer licensing scheme in *Locke*, the Act regulated her professional conduct and had only an incidental effect on her speech.

Our decision in Locke v. Shore

Locke involved a First Amendment free speech challenge to a Florida law “requir[ing] interior designers practicing in nonresidential, commercial settings within the state to obtain a state license.” 634 F.3d at 1189. The statute defined “‘interior design’ as ‘designs, consultations, studies, drawings, specifications, and administration of design construction contracts relat[ed] to nonstructural interior elements of a building or structure.’” *Id.* (quoting what is now Fla. Stat. § 481.203(10)). To get a license, a designer had to “complete a combined total of six years of interior design education and internship experience with a licensed interior designer” and “pass an examination administered by the National Council of Interior Design Qualifications.” *Id.* “Practicing interior design in commercial settings in Florida without a license” could result in a misdemeanor charge and an administrative penalty. *Id.* at 1189–90.

The plaintiffs were educated and trained in interior design and practiced in residential settings in Florida. *Id.* at 1190. They “wish[ed] to expand their practice to commercial settings,” but they were not licensed as interior designers by the state. *Id.* The plaintiffs “argue[d] that the license requirement unconstitutionally burden[ed] protected speech under the First Amendment.” *Id.* at 1191. “We conclude[d] that Florida’s license requirement [was]

constitutional under the First Amendment,” *id.* at 1192, and gave two distinct reasons for our holding.

The first reason we gave was that a “statute that governs the practice of an occupation is not unconstitutional as an abridgement of the right to free speech, so long as any inhibition of that right is merely the incidental effect of observing an otherwise legitimate regulation.” *Id.* at 1191 (quotation marks omitted). We relied, in part, on *Wilson v. State Bar of Georgia*, 132 F.3d 1422, 1430 (11th Cir. 1998), which recognized that “regulations that ‘govern occupational conduct’ with only an ‘incidental effect’ on speech withstand First Amendment scrutiny.” *Locke*, 634 F.3d at 1191 (parenthetically quoting from *Wilson*). “Because the [interior designer] license requirement govern[ed] ‘occupational conduct, and not a substantial amount of protected speech,’” *Locke* said, it did “not implicate constitutionally protected activity under the First Amendment.” *Id.* (quoting *Wilson*, 132 F.3d at 1429).

This first reason was an independently adequate reason for our holding in *Locke*. It was not only the first reason we gave but also the reason we reiterated in the concluding paragraph of our discussion. *Id.* at 1192 (concluding “that Florida’s license requirement is constitutional under the First Amendment” “[b]ecause the license requirement is a professional regulation with a merely incidental effect on protected speech”). In case there was any doubt about the matter, in her separate concurring opinion in the *Locke* case, Judge Black nailed down our holding and the reason for it. *Id.* at 1197 (Black, J., concurring in the result) (“As I understand the

19-13070

Opinion of the Court

13

majority opinion, it holds that Florida’s licensing scheme does not violate the First Amendment because it is a regulation of occupational conduct with only an incidental impact on protected speech.”).

As courts sometimes do, the *Locke* court also gave an additional reason for its holding. The second reason we gave for concluding that the interior designer licensing scheme did not violate the First Amendment was that, if “the government enact[ed] generally applicable licensing provisions limiting the class of persons who may practice the profession, it cannot be said to have enacted a limitation on freedom of speech . . . subject to First Amendment scrutiny.” *Id.* at 1191 (majority opinion) (omission in original) (quoting *Lowe v. SEC*, 472 U.S. 181, 232 (1985) (White, J., concurring)). There was “a difference,” we reasoned, “for First Amendment purposes, between regulating professionals’ speech to the public at large versus their direct, personalized speech with clients.” *Id.* The interior designer “license requirement regulate[d] solely the latter,” we said. *Id.* This second reason, derived from Justice White’s concurring opinion in *Lowe*, is the professional speech doctrine.

Both reasons supported our conclusion that the interior designer licensing statute did not violate the plaintiffs’ First Amendment free speech rights.

The Supreme Court's decision in NIFLA v. Becerra

NIFLA involved California's regulation of crisis pregnancy centers—"pro-life (largely Christian belief-based) organizations that offer a limited range of free pregnancy options, counseling, and other services to individuals that visit a center." 138 S. Ct. at 2368 (quoting report). The state's regulation required centers that qualified as licensed covered facilities to "disseminate a government-drafted notice on site," which read: "California has public programs that provide immediate free or low-cost access to comprehensive family planning services (including all FDA-approved methods of contraception), prenatal care, and abortion for eligible women." *Id.* at 2369 (quotation marks omitted).²

A licensed pregnancy center sued, alleging that the notice requirement "abridge[d] the freedom of speech protected by the First Amendment." *Id.* at 2370. The district court denied the center's motion for a preliminary injunction, and the Ninth Circuit affirmed because the notice requirement "survive[d] the lower level of scrutiny that applie[d] to regulations of professional speech." *Id.* (quotation marks omitted)

The Supreme Court reversed. *Id.* The Court began by explaining that when it enforces the First Amendment prohibition on the abridgment of the freedom of speech, it distinguishes "between

² California's regulation had a separate notice requirement for unlicensed pregnancy centers, *NIFLA*, 138 S. Ct. at 2369–70, but the notice requirement for unlicensed centers isn't relevant to whether *Locke* has been abrogated.

19-13070

Opinion of the Court

15

content-based and content-neutral regulations of speech.” *Id.* at 2371. Content-based regulations “target speech based on its communicative content,” and generally they “are presumptively unconstitutional and may be justified only if” they survive strict scrutiny—“the government proves that they are narrowly tailored to serve compelling state interests.” *Id.* (quotation marks omitted). The notice requirement for licensed pregnancy centers was a content-based regulation because it compelled the center to speak a particular message. *Id.*

But, the *NIFLA* Court explained, some courts of appeals, like the Ninth Circuit, had “recognized ‘professional speech’ as a separate category of speech that is subject to different rules.” *Id.* (citing cases from the Third, Fourth, and Ninth Circuits). These courts defined professional speech as speech that is based on expert knowledge and judgment by individuals who provided personalized services to clients and who are subject to a generally applicable licensing and regulatory regime. *Id.* “[T]hese courts except[ed] professional speech from the rule that content-based regulations of speech are subject to strict scrutiny.” *Id.*

The *NIFLA* Court refused to recognize “‘professional speech’ as a separate category of speech.” *Id.* “Speech is not unprotected merely because it is uttered by ‘professionals.’” *Id.* at 2371–72. A government cannot impose content-based restrictions on speech, the Court explained, “without persuasive evidence of a long (if heretofore unrecognized) tradition to that effect.” *Id.* at 2372 (cleaned up). While the Court had never recognized “a

tradition for a category called ‘professional speech,’” it has traditionally “afforded less protection for professional speech in two circumstances.” *Id.*

First, the Court has “applied more deferential review to some laws that require professionals to disclose factual, noncontroversial information in their ‘commercial speech.’” *Id.* And second, the Court has said that “[s]tates may regulate professional conduct, even though that conduct incidentally involves speech.” *Id.* The Supreme Court “has upheld regulations of professional conduct that incidentally burden speech” because the “First Amendment does not prevent restrictions directed at commerce or conduct from imposing incidental burdens on speech.” *Id.* at 2373 (quotation marks omitted).

Neither traditional circumstance applied to California’s notice requirement for licensed pregnancy centers. *Id.* at 2372–74. And the *NIFLA* Court found no “persuasive reason for treating professional speech as a unique category that is exempt from ordinary First Amendment principles.” *Id.* at 2375. Even applying the easier-to-meet standard of intermediate scrutiny, the Court concluded that California’s notice requirement couldn’t meet it because the notice requirement wasn’t sufficiently drawn to achieve the state’s claimed substantial interest. *Id.* at 2375–76.

NIFLA did not abrogate Locke

Del Castillo argues that *NIFLA* abrogated *Locke*. And her argument goes something like this. *Locke*’s holding relied on the

19-13070

Opinion of the Court

17

“professional speech doctrine” to conclude that Florida’s interior designer licensing scheme did not violate the plaintiffs’ First Amendment free speech rights. But *NIFLA* rejected the “professional speech doctrine.” So the prop supporting *Locke*’s holding has been taken away, and *Locke* has been abrogated. For three reasons, we disagree.

First, *Locke*’s First Amendment holding relied on more than the “professional speech doctrine.” The *Locke* court also concluded that the interior designer licensing requirement did not violate the First Amendment because it was “a professional regulation with a merely incidental effect on protected speech.” 634 F.3d at 1192; *see also id.* at 1197 (Black, J., concurring in the result) (“As I understand the majority opinion, it holds that Florida’s licensing scheme does not violate the First Amendment because it is a regulation of occupational conduct with only an incidental impact on protected speech.”). “A statute that governs the practice of an occupation is not unconstitutional as an abridgment of the right to free speech, so long as any inhibition of that right is merely the incidental effect of observing an otherwise legitimate regulation.” *Id.* at 1191 (majority opinion).

Second, while the *NIFLA* Court “refused to recognize professional speech as a new speech category deserving less protection,” *Otto v. City of Boca Raton*, 981 F.3d 854, 867 (11th Cir. 2020), it also reaffirmed that “[s]tates may regulate professional conduct, even though that conduct incidentally involves speech,” *NIFLA*, 138 S. Ct. at 2372. The *NIFLA* Court explained that “regulations of

professional conduct that incidentally burden speech” have been “upheld,” and the “First Amendment does not prevent restrictions directed at commerce or conduct from imposing incidental burdens on speech.” *Id.* at 2373 (quotation marks omitted).

Third, *NIFLA* did not undermine *Locke* to the point of abrogation. “We are bound to follow a prior panel or en banc holding, except where that holding has been overruled or undermined to the point of abrogation by a subsequent en banc or Supreme Court decision.” *Chambers v. Thompson*, 150 F.3d 1324, 1326 (11th Cir. 1998). A prior panel precedent is “undermined,” we explained in *United States v. Petite*, where the “Supreme Court’s subsequent decision . . . so *fully* undermined our prior panel’s decision . . . as to abrogate its holding.” 703 F.3d 1290, 1297 (11th Cir. 2013) (emphasis added). To “fully undermine[]” a prior panel decision, the later Supreme Court decision must “demolish[]” and “eviscerate[]” each of its “fundamental props.” *See id.* at 1297–98. Because *Locke*’s holding relied on more than the “professional speech doctrine”—and the only thing *NIFLA* refused to recognize was the “professional speech doctrine”—both of *Locke*’s props have not been demolished; its holding is still standing.

The *NIFLA* Court spoke with unmistakable clarity about the line of precedents upholding regulations of professional conduct that incidentally burden speech and another line of precedents (upholding laws compelling the disclosure of information in certain contexts): “neither line of precedents is implicated here.” 138 S. Ct. at 2372. Reasoning based on a line of Supreme Court

19-13070

Opinion of the Court

19

precedents that the Court itself emphasizes in a later decision is not implicated by that later decision cannot have been rejected, overruled, or abrogated by the later decision.

So what we have here is a prior panel precedent—the holding in *Locke*—that rests on two bases, only one of which has been rejected by the Supreme Court while the other basis has not been. If anything, that surviving basis or rationale has been endorsed by the Supreme Court. And it takes only one valid basis or rationale for a prior holding to make it binding precedent. *See McLellan v. Miss. Power & Light Co.*, 545 F.2d 919, 925 n.21 (5th Cir. 1977) (en banc) (“It has long been settled that all alternative rationales for a given result have precedential value.”); *see also Massachusetts v. United States*, 333 U.S. 611, 623 (1948) (explaining that where a case has “been decided on either of two independent grounds” and “rested as much upon the one determination as the other,” the “adjudication is effective for both”).

Two of our decisions illustrate this point. The first is an example of a dual-rationale prior precedent that was abrogated by a supervening Supreme Court decision because the supervening Supreme Court decision was inconsistent with both rationales of the prior precedent. In the *Petite* case, “we ha[d] a prior panel opinion on all fours with the case before us.” 703 F.3d at 1297. That prior panel decision was *United States v. Harrison*, 558 F.3d 1280 (11th Cir. 2009). *Petite*, 703 F.3d at 1297. “In *Harrison*”—the prior panel opinion—we had “held that the offense of simple vehicle flight . . . —the same offense at issue [in *Petite*]—was *not* a violent felony for

purposes of the Armed Career Criminal Act.” *Id.* “*Harrison*,” we said, “rested on two fundamental props.” *Id.* “The first foundational prop was the panel’s conclusion that Florida’s simple vehicle flight offense, as ordinarily committed, was not ‘roughly similar’ to the ACCA’s enumerated offenses in ‘degree of risk posed.’” *Id.* (quoting *Harrison*, 558 F.3d at 1294). “The second prop on which the panel’s holding in *Harrison* rested was that, even assuming a serious potential risk of physical injury exists . . . Florida’s simple vehicle flight offense was not roughly similar in kind to the ACCA’s enumerated offenses.” *Id.* (cleaned up).

But both of those two “foundations of *Harrison* were demolished by the Supreme Court’s subsequent decision in *Sykes* [*v. United States*, 564 U.S. 1 (2011)].”³ *Petite*, 703 F.3d at 1298. “As for the degree of risk posed by vehicle flight”—the first prop—“the Supreme Court rejected our prior panel’s risk calculus, which had suggested that the confrontational act of vehicle flight does not necessarily translate into a serious potential risk of physical injury in the absence of high speed or reckless driving on the part of the offender.” *Id.* (quotation marks omitted). And “[t]he Supreme Court in *Sykes* also eviscerated the second of *Harrison*’s props—that, even assuming a serious risk of injury, simple vehicle flight was not a violent felony for ACCA purposes because it was not

³ *Sykes* and *Petite* both involved an analysis under the ACCA’s residual clause, a provision which has since been declared unconstitutionally vague. See *Johnson v. United States*, 576 U.S. 591 (2015).

similar in kind to the ACCA’s enumerated crimes.” *Id.* Because *Sykes* demolished both of the two foundations supporting *Harrison*’s holding, we concluded in *Petite* that *Harrison* had been so fully undermined that it had been abrogated by *Sykes*. *Id.* at 1299. This is what it takes for a Supreme Court decision to demolish or eviscerate a prior precedent.

The other example of a dual-rationale prior precedent illustrates what happens when only one of two rationales is rejected by a later Supreme Court decision. *See DeLong Equip. Co. v. Wash. Mills Electro Mins. Corp.*, 997 F.2d 1340 (11th Cir. 1993). We held in *DeLong Equipment* that postjudgment interest would be awarded from the date of the original judgment, rather than from the date of the judgment on remand. *Id.* at 1341. That holding was consistent with *Woods Exploration & Producing Co. v. Aluminum Co. of America*, 509 F.2d 784 (5th Cir. 1975), which was binding “prior precedent” from the former Fifth Circuit. *DeLong Equip. Co.*, 997 F.2d at 1342.

We acknowledged in *DeLong Equipment* that the Supreme Court had since “rejected the narrow holding of” our *Woods Exploration* decision. *Id.* at 1342 n.1 (citing *Kaiser Aluminum & Chem. Corp. v. Bonjorno*, 494 U.S. 827 (1990)). As a result, one rationale or point on which our earlier decision had rested was gone. But we explained that the supervening Supreme Court decision “did not cast doubt on the *Woods* case’s larger point that the earlier date is the one from which equity normally requires the accrual of postjudgment interest to run.” *Id.* Thus, we held that the

Supreme Court’s rejection of one basis or rationale of our prior decision did not change the precedential force of the rationale that was unaddressed and unabrogated by the Supreme Court. *See id.* The situation in *Locke* is like the situation in *DeLong Equipment*. The point we made in *Locke* about the regulation of professional conduct that incidentally burdened speech remains undisturbed and binding.

Here, unlike in *Petite*, the Supreme Court has not “demolished” or “fully undermined” both props making up *Locke*’s foundation. *Locke*, like our prior panel decision in *Harrison*, relied on two props to hold that Florida’s interior designer licensing scheme did not violate the plaintiffs’ First Amendment free speech rights: (1) “the license requirement [was] a professional regulation with a merely incidental effect on protected speech”; and (2) the professional speech doctrine. *Locke*, 634 F.3d at 1191–92. In *NIFLA*, the Supreme Court refused to recognize the “professional speech” doctrine. *See Otto*, 981 F.3d at 861 (explaining that the Supreme Court in *NIFLA* “rejected an attempt to regulate speech by recharacterizing it as professional conduct”). But the *NIFLA* Court reaffirmed that “[s]tates may regulate professional conduct, even though that conduct incidentally involves speech.” 138 S. Ct. at 2372; *see also id.* at 2373 (“[T]his Court has upheld regulations of professional conduct that incidentally burden speech.”).

After *NIFLA*, one of the two props supporting *Locke*’s foundation still stands. It has not been eviscerated. It has not been demolished. And it has not been undermined. “[W]e are not at

19-13070

Opinion of the Court

23

liberty to disregard binding case law that is so closely on point and has been only weakened, rather than directly overruled, by the Supreme Court.” *Fla. League of Pro. Lobbyists, Inc. v. Meggs*, 87 F.3d 457, 462 (11th Cir. 1996); *see also United States v. Kaley*, 579 F.3d 1246, 1255 (11th Cir. 2009) (“[T]he doctrine of adherence to prior precedent also mandates that the intervening Supreme Court case actually abrogate or directly conflict with, as opposed to merely weaken, the holding of the prior panel.” (quotation marks omitted)). Unlike in *Petite*, because only one—but not both—of *Locke*’s independently adequate props has been taken away, we are not compelled to conclude that *Locke* has been so fully undermined as to be abrogated by *NIFLA*.

Rather, under our prior precedent rule, *Locke*’s first rationale is still good law: “A statute that governs the practice of an occupation is not unconstitutional as an abridgement of the right to free speech, so long as any inhibition of that right is merely the incidental effect of observing an otherwise legitimate regulation.” 634 F.3d at 1191. We must follow this part of *Locke* to the extent it applies to Del Castillo and the Act’s licensing scheme for dietitians and nutritionists. And, as we explain below, it does apply.

Locke controls the First Amendment question here

Applying *Locke* to this case, we conclude that the Act’s licensing scheme for dietitians and nutritionists regulated professional conduct and only incidentally burdened Del Castillo’s speech. Because the burden on her speech rights was only

incidental, the Act’s licensing scheme did not violate her First Amendment free speech rights. *See Locke*, 634 F.3d at 1192.

The Act regulates “dietetics and nutrition practice,” Fla. Stat. § 468.504, which involves

assessing nutrition needs and status using appropriate data; recommending appropriate dietary regimens, nutrition support, and nutrient intake; ordering therapeutic diets; improving health status through nutrition research, counseling, and education; and developing, implementing, and managing nutrition care systems, which includes, but is not limited to, evaluating, modifying, and maintaining appropriate standards of high quality in food and nutrition care services.

Id. § 468.503(5). And the Act regulates “nutrition counseling,” *id.* § 468.504, which entails “advising and assisting individuals or groups on appropriate nutrition intake by integrating information from the nutrition assessment,” *id.* § 468.503(10). In enacting this regulation, the Florida legislature specifically found that “the *practice* of dietetics and nutrition or nutrition counseling by unskilled and incompetent practitioners presents a danger to the public health and safety.” *Id.* § 468.502 (emphasis added).

Assessing a client’s nutrition needs, conducting nutrition research, developing a nutrition care system, and integrating information from a nutrition assessment are not speech. They are

19-13070

Opinion of the Court

25

“occupational conduct”; they’re what a dietician or nutritionist does as part of her professional services. *See Locke*, 634 F.3d at 1191 (quotation marks omitted).

The profession also involves some speech—a dietician or nutritionist must get information from her clients and convey her advice and recommendations. But, to the extent the Act burdens speech, the burden is an incidental part of regulating the profession’s conduct.

The Act’s effect on speech for dieticians and nutritionists is as incidental as was the licensing scheme in *Locke*’s effect on speech for interior designers. The interior designer licensing scheme in *Locke* defined “interior design” as “designs, consultations, studies, drawings, specifications, and administration of design construction contracts relating to nonstructural interior elements of a building or structure.” *Id.* at 1189 (quoting what is now Fla. Stat. § 481.203(10)). Interior design included “reflected ceiling plans, space planning, furnishings, and the fabrication of nonstructural elements within and surrounding interior spaces of buildings.” *Id.* (quotation marks omitted).

But interior design also involved some speech. An interior designer not only creates designs and drawings of nonstructural interior elements of a building, *id.*; she also has to talk to her clients about their preferences and communicate the final designs and drawings to the clients. Even so, the fact that the profession involved speech did not mean that the licensing scheme for interior designers violated the First Amendment. Rather, because “the

[interior designer] license requirement [was] a professional regulation with a merely incidental effect on protected speech,” we held that it was “constitutional under the First Amendment.” *Id.* at 1192.

We’re bound by *Locke* to reach the same conclusion here. Like the interior designer licensing scheme in *Locke*, the Act regulated the professional conduct of dieticians and nutritionists and only incidentally burdened Del Castillo’s free speech rights. Because the Act “is a professional regulation with a merely incidental effect on protected speech,” it is “constitutional under the First Amendment.” *See id.*

AFFIRMED.

**UNITED STATES COURT OF APPEALS
FOR THE ELEVENTH CIRCUIT**

ELBERT PARR TUTTLE COURT OF APPEALS BUILDING
56 Forsyth Street, N.W.
Atlanta, Georgia 30303

David J. Smith
Clerk of Court

For rules and forms visit
www.ca11.uscourts.gov

February 18, 2022

MEMORANDUM TO COUNSEL OR PARTIES

Appeal Number: 19-13070-DD

Case Style: Heather Kokesch Del Castillo v. Secretary, Florida Department

District Court Docket No: 3:17-cv-00722-MCR-HTC

Electronic Filing

All counsel must file documents electronically using the Electronic Case Files ("ECF") system, unless exempted for good cause. Non-incarcerated pro se parties are permitted to use the ECF system by registering for an account at www.pacer.gov. Information and training materials related to electronic filing are available on the Court's website. Enclosed is a copy of the court's decision filed today in this appeal. Judgment has this day been entered pursuant to FRAP 36. The court's mandate will issue at a later date in accordance with FRAP 41(b).

The time for filing a petition for rehearing is governed by 11th Cir. R. 40-3, and the time for filing a petition for rehearing en banc is governed by 11th Cir. R. 35-2. Except as otherwise provided by FRAP 25(a) for inmate filings, a petition for rehearing or for rehearing en banc is timely only if received in the clerk's office within the time specified in the rules. Costs are governed by FRAP 39 and 11th Cir. R. 39-1. The timing, format, and content of a motion for attorney's fees and an objection thereto is governed by 11th Cir. R. 39-2 and 39-3.

Please note that a petition for rehearing en banc must include in the Certificate of Interested Persons a complete list of all persons and entities listed on all certificates previously filed by any party in the appeal. See 11th Cir. R. 26.1-1. In addition, a copy of the opinion sought to be reheard must be included in any petition for rehearing or petition for rehearing en banc. See 11th Cir. R. 35-5(k) and 40-1 .

Counsel appointed under the Criminal Justice Act (CJA) must submit a voucher claiming compensation for time spent on the appeal no later than 60 days after either issuance of mandate or filing with the U.S. Supreme Court of a petition for writ of certiorari (whichever is later) via the eVoucher system. Please contact the CJA Team at (404) 335-6167 or cja_evoucher@ca11.uscourts.gov for questions regarding CJA vouchers or the eVoucher system.

Pursuant to Fed.R.App.P. 39, costs taxed against appellant.

Please use the most recent version of the Bill of Costs form available on the court's website at www.ca11.uscourts.gov.

For questions concerning the issuance of the decision of this court, please call the number referenced in the signature block below. For all other questions, please call Bradly Wallace Holland, DD at 404-335-6181.

Sincerely,

DAVID J. SMITH, Clerk of Court

Reply to: Jeff R. Patch
Phone #: 404-335-6151

OPIN-1A Issuance of Opinion With Costs